# **Genome-wide Association Studies**

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#### **Genome-wide Association Studies**

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### 1 Setting the pace

#### 1.a What can your spit tell you about your DNA?

#### The use of saliva

- People spit for a variety of reasons. We've all employed the technique to remove a hair or some other distasteful object from our mouths. People who chew tobacco do it for obvious reasons. Ball players do it because they're nervous, bored or looking to showcase their masculinity. And people in many different cultures spit on their enemies to show disdain.
- Thanks to a phenomenon known as direct-to-consumer genetic testing or at-home genetic testing, people are spitting today for a much more productive (and perhaps more sophisticated) reason -- to get a glimpse of their own DNA.

(science.howstuffworks.com)

#### From saliva to DNA

- Your saliva contains a veritable mother load of biological material from which your genetic blueprint can be determined.
- For example, a mouthful of spit contains hundreds of complex protein molecules enzymes -- that aid in the digestion of food.
- Swirling around with those enzymes are cells sloughed off from the inside of your cheek.
- Inside each of those cells lies a nucleus, and inside each nucleus, chromosomes, which themselves are made up of DNA



#### **Commercial kits**



Do not eat, drink, smoke, chew gum, brush your teeth, or use mouthwash for at least 30 minutes prior to providing your sample.



Collect the recommended volume of saliva. The recommended volume of saliva to provide is 2 mL, or about ½ teaspoon. Your saliva sample should be just above the fill line.



Provide your sample and add the stabilization buffer within 30 minutes. The full saliva sample should be collected within 30 minutes and the funnel contents should be released into the tube immediately. Waiting longer than 30 minutes may decrease the yield and quality of your DNA.



Cap securely before shipping. Remember to remove and discard the funnel lid and place the tube cap on securely before mailing your sample to our laboratory.



All from home. No blood. No needles. Just a sma

SIGN IN

REGISTER KIT

HELP Y

**OUR SERVICE** 

HOW IT WORKS V

**STORIES** 

RHY





## Order

Your saliva collection kit typically arrives within 3 to 5 days. Express shipping is available.



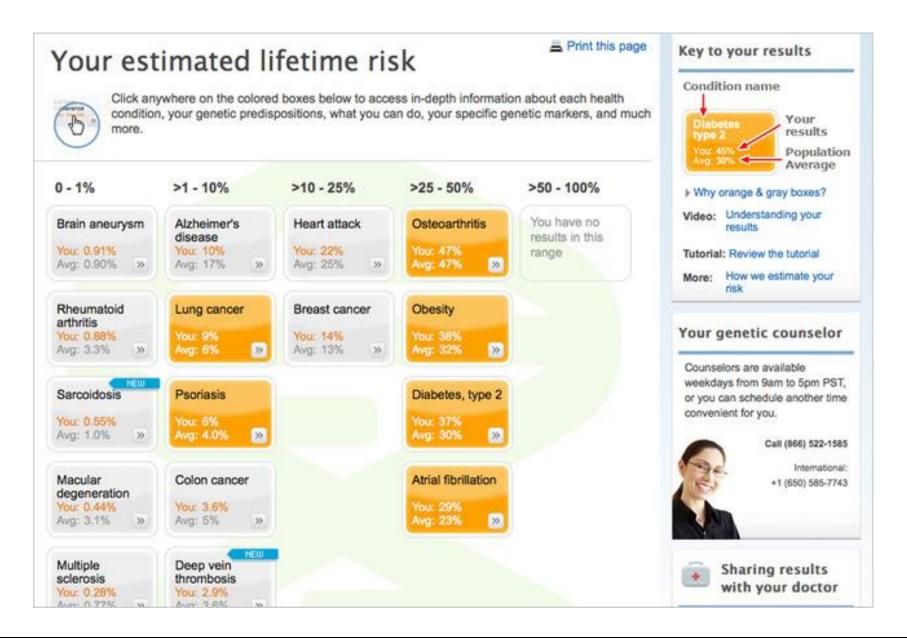
# Spit

Follow kit instructions to spit in the tube provided – all from home. Register your saliva collection tube using the barcode so we know it belongs to you, and mail it back to our lab in the prepaid package.



#### Discover

In approximately 6-8 weeks, we will send you an email to let you know your reports are ready in your online account. Log in and start discovering what your DNA says about you.



#### The 23andMe story

- Wojcicki founded 23andme in 2006 with Linda Avey and Paul Cusenza with a goal of upending conventional models of health care:
  - put sophisticated DNA analyses into the hands of consumers,
  - giving them information about health, disease and ancestry,
  - and allowing the company to sell access to the genetic data to fuel research.
- In 2013, that vision hit a snag. Wojcicki didn't think she needed regulatory approval to provide information about her customers' health risks. The US Food and Drug Administration (FDA) disagreed, and ordered the company to stop.

(source: https://www.nature.com/news/the-rise-and-fall-and-rise-again-of-23andme-1.22801)

ETHNIC AND RACIAL STUDIES, 2016 VOL. 39, NO. 2, 142–161 http://dx.doi.org/10.1080/01419870.2016.1105990



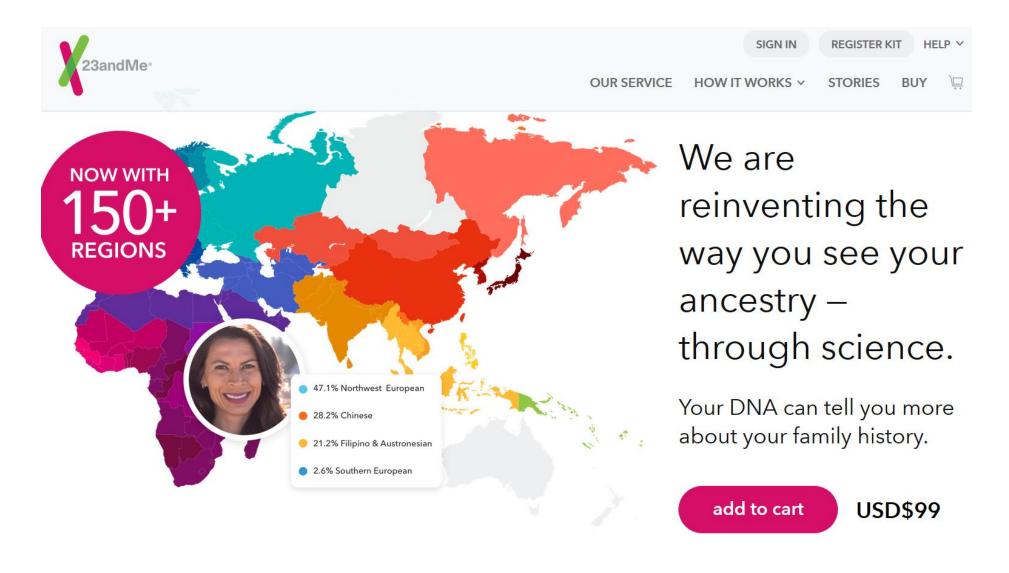
# In the blood: the myth and reality of genetic markers of identity

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#### **ABSTRACT**

The differences between copies of the human genome are very small, but tend to cluster in different populations. So, despite the fact that low inter-population differentiation does not support a biological definition of races statistical methods are nonetheless claimed to be able to predict successfully the population of origin of a DNA sample. Such methods are employed in commercial genetic ancestry tests, and particular genetic signatures, often in the male-specific Y-chromosome or maternally-inherited mitochondrial DNA, have become widely identified with particular ancestral or existing groups, such as Vikings, Jews, or Zulus. Here, we provide a primer on genetics, and describe how genetic markers have become associated with particular groups. We describe the conflict between population genetics and individual-based genetics and the pitfalls of over-simplistic genetic interpretations, arguing that although the tests themselves are reliable, the interpretations are unreliable and strongly influenced by cultural and other social forces.



# **NewStatesman**



SCIENCE & TECH 15 JANUARY 2015

# 23andMe: Why bother with predictions about yourself when you are almost certainly average?

Want to understand your genes? Call your parents.

#### The 23andMe story



• After years of effort, the pay-off came in April 2017, when the FDA agreed to allow 23andme to tell consumers their risks of developing ten medical conditions, including Parkinson's disease and late-onset Alzheimer's disease.

 With more than 2 million customers, the company hosts by far the largest collection of gene-linked health data anywhere

(source: https://www.nature.com/news/the-rise-and-fall-and-rise-again-of-23andme-1.22801)

#### From "risk prediction" to "my origin" to "SNP-based genetic tests"

- As we will see, we can measure (genetic) variation between individuals at several positions on the genome, using so-called molecular markers such as Single Nucleotide Polymorphisms (SNPs)
- To run a SNP test, scientists can embed a subject's DNA into for instance a small silicon chip containing reference DNA from both healthy individuals and individuals with certain diseases.
- By analyzing how the SNPs from the subject's DNA match up with SNPs from the reference DNA, the scientists can determine if the subject might be predisposed to certain diseases or disorders.

#### Talking about "references": reference genomes

- A reference genome (also known as a reference assembly) is a digital nucleic acid sequence database, assembled by scientists as a representative example of a species' set of genes.
- As they are often assembled from the sequencing of DNA from a number of donors, reference genomes do not accurately represent the set of genes of any single person. Instead a reference provides a haploid mosaic of different DNA sequences from each donor.
- For example GRCh37, the Genome Reference Consortium human

genome (build 37) is derived from thirteen anonymous volunteers from Buffalo, New York



"Wellcome genome bookcase" by Russ London at en.wikipedia. Licensed under CC BY-SA 3.0 via Commons https://commons.wikimedia.org/wiki/File:Wellcome\_genome\_bookcase.png#/media/File:Wellcome\_genome\_bookcase.png

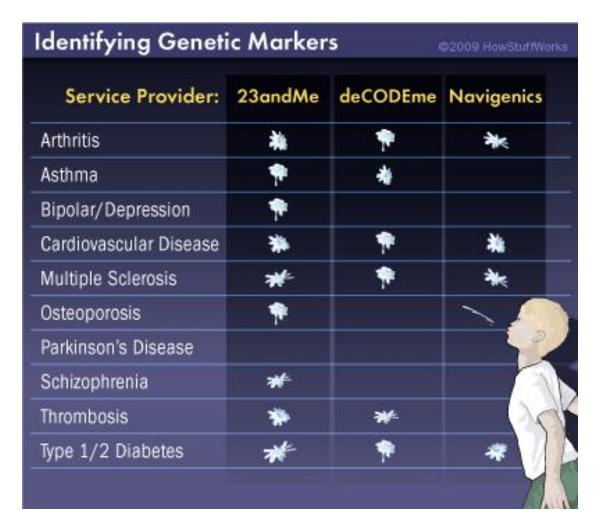
#### How many types of genetic tests exist?

- There are >2000 genetic tests available to physicians to aid in the diagnosis and therapy for >1000 different diseases. Genetic testing is performed for the following reasons:
  - conformational diagnosis of a symptomatic individual
  - pre-symptomatic testing for estimating risk developing disease
  - pre-symptomatic testing for predicting disease
  - prenatal screening
  - newborn screening
  - preimplantation genetic diagnosis
  - carrier screening
  - forensic testing
  - paternal testing

#### How is genetic testing used clinically?

- **Diagnostic medicine**: identify whether an individual has a certain genetic disease. This type of test commonly detects a specific gene alteration but is often not able to determine disease severity or age of onset. It is estimated that there are >4000 diseases caused by a mutation in a single gene. Examples of diseases that can be diagnosed by genetic testing includes cystic fibrosis and Huntington's disease.
- **Predictive medicine**: determine whether an individual has an increased risk for a particular disease. Results from this type of test are usually expressed in terms of probability and are therefore less definitive since disease susceptibility may also be influenced by other genetic and non-genetic (e.g. environmental, lifestyle) factors. Examples of diseases that use genetic testing to identify individuals with increased risk include certain forms of breast cancer (BRCA) and colorectal cancer.

# Can you handle the truth?



#### How is genetic testing used clinically?

- Pharmacogenomics: classifies subtle variations in an individual's genetic makeup to determine whether a drug is suitable for a particular patient, and if so, what would be the safest and most effective dose. Learn more about pharmacogenomics & precision medicine → DNA passports ... are no longer science fiction!
- Whole-genome and whole-exome sequencing: examines the entire genome or exome to discover genetic alterations that may be the cause of disease. Currently, this type of test is most often used in complex diagnostic cases, but it is being explored for use in asymptomatic individuals to predict future disease → increasingly feasible by improved technology + reduced costs → more adequate reference genomes

#### Reference genomes based on >> 13 individuals





#### **⋒** OPEN ACCESS

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RESEARCH ARTICLE

#### Comparison of HapMap and 1000 Genomes Reference Panels in a Large-Scale Genome-Wide Association Study

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### 1.b Speaking the language

#### What is genetic epidemiology?

"... Examining the **role of genetic factors**, along with the **environmental contributors to disease**, and at the same time giving equal attention to the differential **impact of environmental agents**, **non-familial** as well as **familial**, on **different genetic backgrounds**"

"It is the discipline investigating genetic and environmental factors that influence the development and distribution of diseases. It differs from epidemiology in that explicitly genetic factors and similarities within families are taken into account. On the other hand, it can be distinguished from medical genetics by considering populations rather than single patients or families."

(Ziegler and Van Steen, Brazil 2010)

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#### What is genetic epidemiology?

#### Hard to define!

A science that deals with the etiology, distribution and control of disease-related phenotypes in groups of relatives, and with inherited causes of disease-related phenotypes in populations



Statistical methodology

Genome-wide association studies

Next generation sequencing

Gene-environment interaction

Family studies

Risk score

Predictive markers & pharmacogenetics

Microbiome

**Epigenetics** 

eQTL

Other Omics

(IGES presidential address A Ziegler, Chicago 2013)

#### What are the key concepts in genetic epidemiology?

#### Genetic Epidemiology 1

#### Key concepts in genetic epidemiology

Paul R Burton, Martin D Tobin, John L Hopper

This article is the first in a series of seven that will provide an overview of central concepts and topical issues in modern genetic epidemiology. In this article, we provide an overall framework for investigating the role of familial factors, especially genetic determinants, in the causation of complex diseases such as diabetes. The discrete steps of the framework to be outlined integrate the biological science underlying modern genetics and the population science underpinning mainstream epidemiology. In keeping with the broad readership of *The Lancet* and the diverse background of today's genetic epidemiologists, we provide introductory sections to equip readers with basic concepts and vocabulary. We anticipate that, depending on their professional background and specialist knowledge, some readers will wish to skip some of this article.

#### What is genetic epidemiology?

Epidemiology is usually defined as "the study of the distribution, determinants [and control] of health-related states and events in populations". By contrast, genetic epidemiology means different things to different people. We regard it as a discipline closely allied to traditional epidemiology that focuses on the familial, and in particular genetic, determinants of disease and the joint effects of genes and non-genetic determinants. Crucially, appropriate account is taken of the biology that underlies the action of genes and the

close. The marker and the causative variant need not be within the same gene. This principle is the basis of genetic linkage analysis (see a later paper in this series<sup>12</sup>), which has achieved many of the breakthroughs in the genetics of disease causation. Many such breakthroughs involve conditions caused by variants in a single gene and have been achieved by geneticists and clinical geneticists who would not view themselves as genetic epidemiologists. Nevertheless, linkage analysis is one of the most important tools available to the genetic epidemiologist.

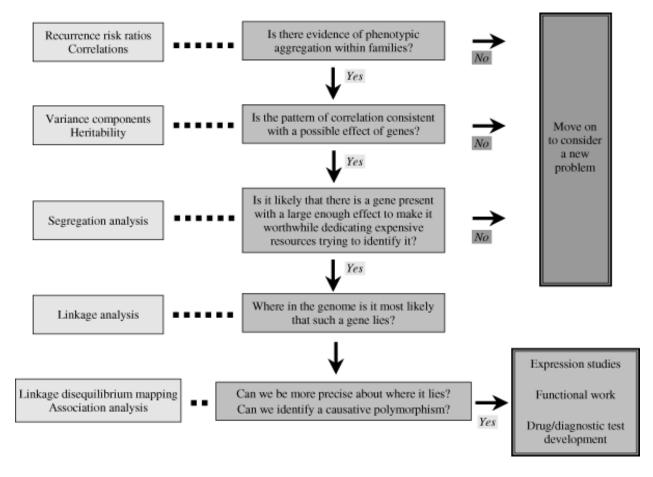
Lancet 2005; 366: 941-51 See Comment page 880

This is the first in a Series of seven papers on genetic epidemiology.

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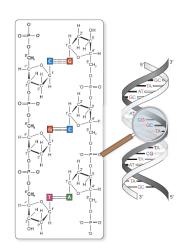
#### What are relevant questions in genetic epidemiology?



(Handbook of Statistical Genetics - John Wiley & Sons; Fig.28-1)

#### Where is the genetic information located?

- Cell has nucleus
- Nucleus carries genetic information in chromosomes
- Chromsomes composed of desoxyribonucleic acid (DNA) and proteins
- DNA large molecule consisting in two strands
- Each strand has backbone of sugar and phosphate residues
- Sequence of bases attached to backbone
- Bases: adenine (A), guanine (G), cytosine (C), thymine (T)
- Strands connected through hydrogen bonds
  - A with T (2 hydrogen bonds)
  - C with G (3 hydrogen bonds)

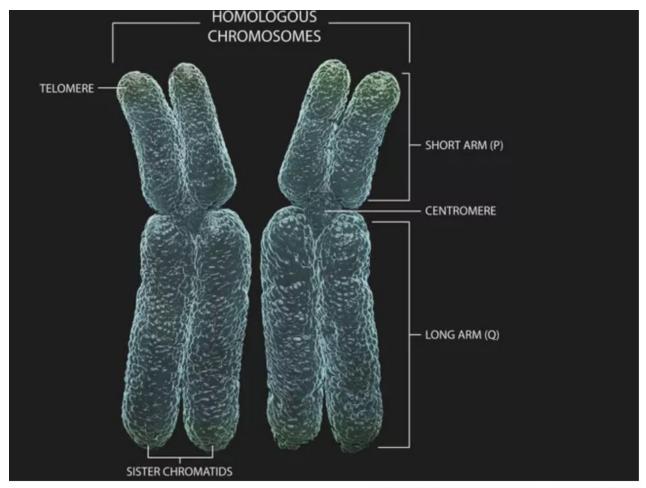


(Ziegler and Van Steen, Brazil 2010)

#### Where is the genetic information located?

- Chromosomes are
  - Linear arrangements of DNA
  - 22 autosomal pairs in humans
  - 2 sex chromosomes (X and Y)
- Pair of chromosomes called homologs
- Meiosis: special type of cell division
- Crossover: chromosomal segment exchange between homologs during meiosis
- Average # crossovers:  $55 \times \text{in males}$ ,  $1.5 \times \text{higher in females}$

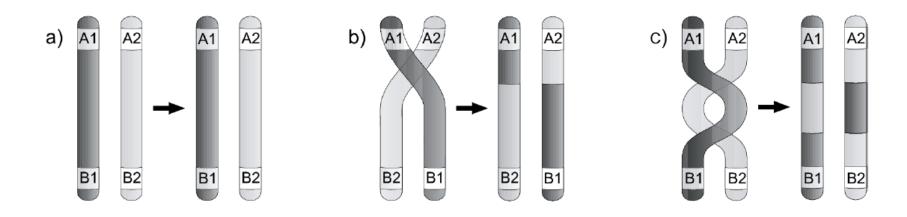
(Ziegler and Van Steen, Brazil 2010)



Photon Illustration/Stocktrek Images/Getty Images

In humans, males have lower recombination rates than females over the majority of the genome, but the opposite is usually true near the telomeres

#### Result of crossover: recombination in meiotic products



- Relevant measure: recombination fraction (probability of odd number of crossovers) between two chromosomal positions
- Strong correlation between recombination fraction and distance in base pairs

(Ziegler and Van Steen, Brazil 2010)

#### How much do individuals differ with respect to genetic information?

- Allele: one of several alternative forms of DNA sequence at specific chromosomal location (locus)
- Genetic marker: polymorphic DNA sequence at single locus
- Polymorphism: existence of ≥ 2 alleles at single locus
- Homozygosity (homozygous): both alleles identical at locus
- Heterozygosity (heterozygous): different alleles at locus
- Mutation:
  - Changes allele at specific chromosomal position
  - Frequency  $\approx 10^{-4}$  to  $10^{-6} \Rightarrow$  Individuals differ with freq. of 1/1000 bases

(Ziegler and Van Steen, Brazil 2010)

#### How much do individuals differ with respect to genetic information?

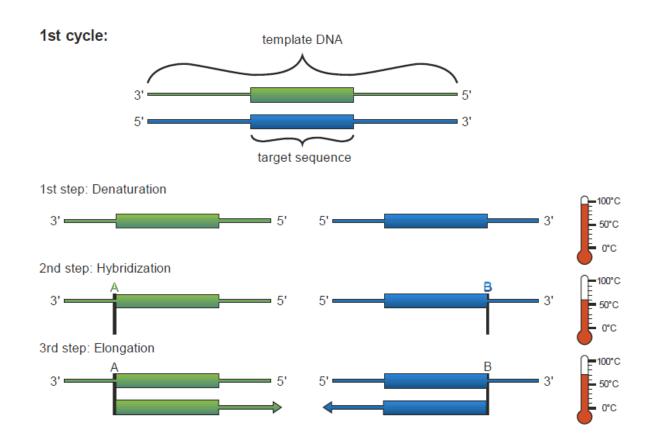
- **Genotype**: The two alleles inherited at a specific locus. If the alleles are the same, the genotype is homozygous, if different, heterozygous. In genetic association studies, genotypes can be used for analysis as well as alleles or haplotypes.
- **Haplotype**: Linear arrangements of alleles on the same chromosome that have been inherited as a unit. A person has two haplotypes for any such series of loci, one inherited maternally and the other paternally. A haplotype may be characterized by a single allele



http://www.dorak.info/epi/glosge.html

#### How can individual differences be detected?

#### Mimic PCR



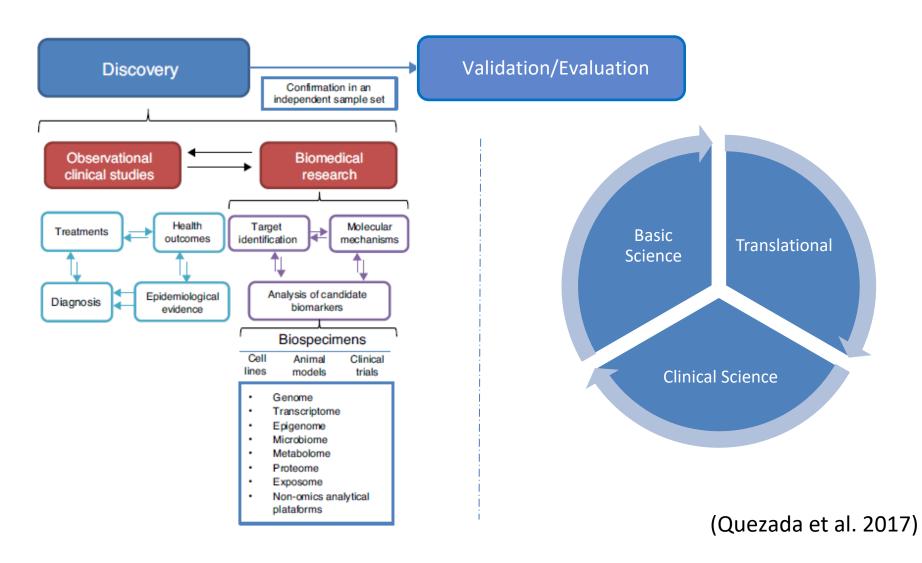
(Ziegler and Van Steen, Brazil 2010)

#### What are biomarkers?

- A biological marker, or biomarker, is something that can be measured, which points to the presence of a disease, a physiological change, response to a treatment, or a psychological condition.
- A molecular biomarker is a molecule that can be used in this way.
   Recall that DNA is a molecule! → genetic markers = polymorphic
   DNA sequences at a locus
- Biomarkers are used in different ways at different stages of medicines development, including in some cases as a surrogate endpoint to indicate and measure the effect of medicines in clinical trials → also genetic markers

(www.eupati.eu)

## The biomarker development process



#### What are the most popular genetic markers?

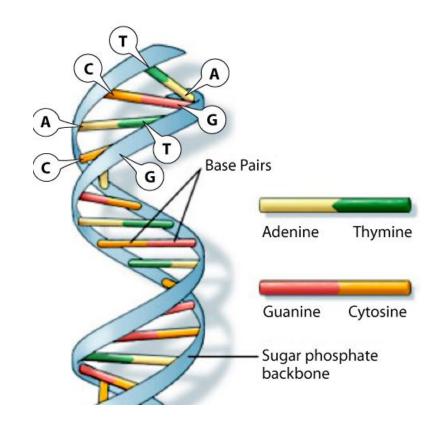
Single Nucleotide Polymorphisms (SNPs)

- Variations in single base, i.e., one base substituted by another base
- In theory: four different nucleotides possible at base
- In practice: generally only two different nucleotides observed
- Definition strict and loose:
  - Strict: minor allele frequency ≥ 1%
  - Loose: ≥ 2 nucleotides observed in two individuals at position
- Nomenclature:
  - ss-number (submitted SNP number)
  - o rs-number: searchable in dbSNP, mapped to external resources, unique
  - o rs-numbers do not provide information about possible function of SNP
  - Alternative: nomenclature of Human Genome Variation Society

(Ziegler and Van Steen, Brazil 2010)

## Do SNPs capture differences between human genomes?

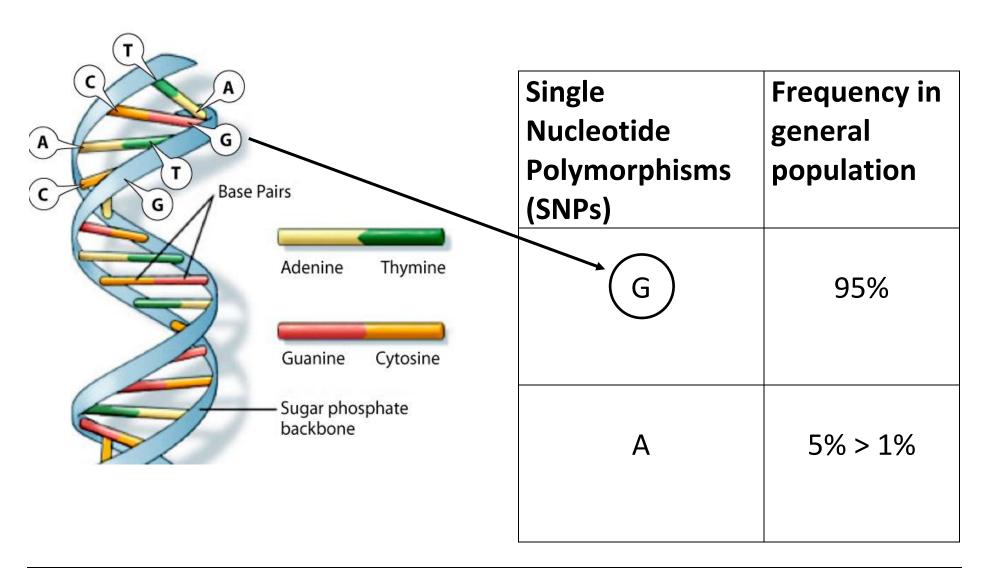
- Any two people plucked at random off the street are on average 99.9 percent the same, DNA-wise (> 3 million positional differences)
- Most genome variations are relatively small and simple, involving only a few bases—an A substituted for a T here, a G left out there, a short sequence such as CG added somewhere else



(U.S. National Library of Medicine)

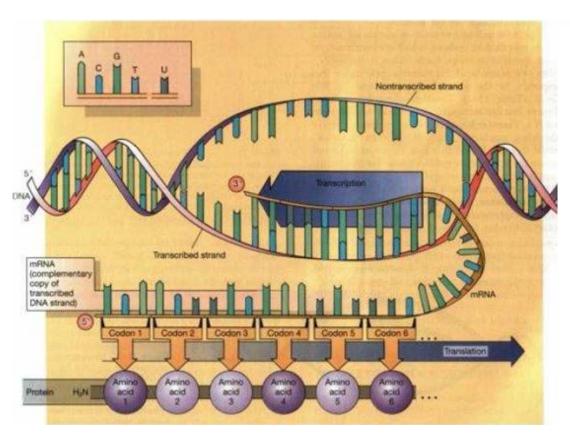
## **Common genetic variations**

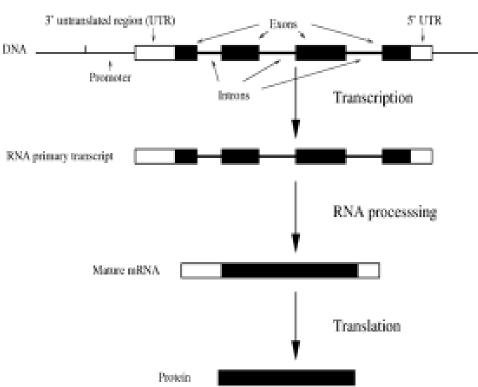
MAF (minor allele frequency)



#### What is the central dogma of molecular biology?

#### Simplified version





#### Information is everywhere: the programming of life

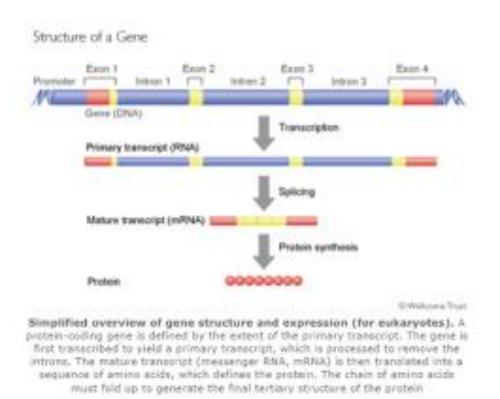
http://www.youtube.com/watch?v=00vBqYDBW5s

"Information:

that which can be communicated through symbolic language"

#### What are genes?

#### Defining genes by their structure

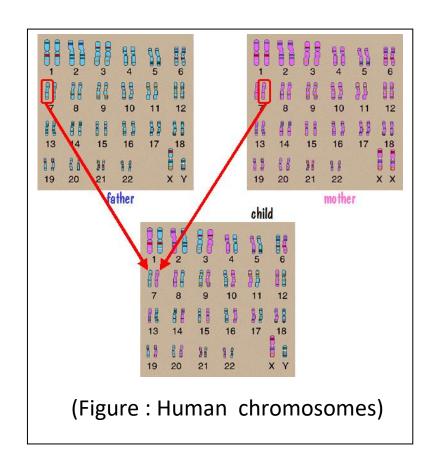


Splicing is carried out by a very complex enzyme machinery: **the spliceosome**. In the spliceosome, proteins as well as RNA molecules are found that form complexes: the small nuclear ribonucleoproteins or snRNP5 (snurps). These recognize specific sequences on the borders of an intron, cut the ends, release the intron and ligate the remaining exons.

#### What are genes?

- The **gene** is the basic physical unit of inheritance.
- Genes are passed from parents to offspring and contain the information needed to specify traits.
- They are arranged, one after another, on the chromosomes
- Chromosomes are not taken entirely by genes.

#### Defining genes as units of inheritance

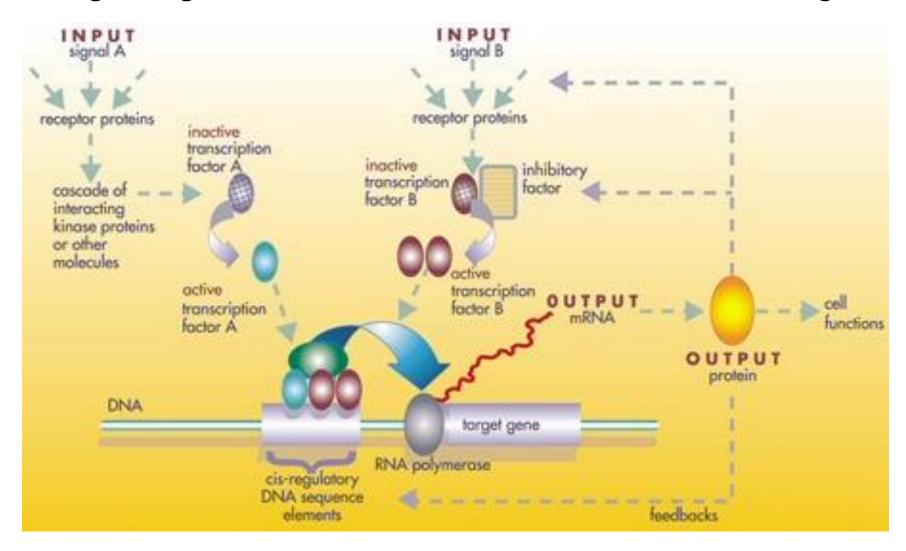


#### What is gene annotation?

- An annotation (irrespective of the context) is a note added by way of explanation or commentary.
- **Genome annotation** is the process of identifying the locations of genes and all of the coding regions in a genome and determining what those genes do.
- Once a genome is sequenced, it needs to be annotated to make sense of it

#### What is gene regulation?

#### revised version of central dogma



#### How to hunt for genes to answer relevant questions?

- Developing new and better tools to make gene hunts faster, cheaper and practical for any scientist was a primary goal of the **Human Genome Project** (HGP).
- One of these tools is genetic mapping, the first step in isolating a gene.
   Genetic mapping in the early days can offer firm evidence that a disease transmitted from parent to child is linked to one or more genes. It also provides "clues" about where the gene lies.
- Genetic maps have been used successfully to find the single gene responsible for relatively rare inherited disorders, like cystic fibrosis, but have also been useful as a guide to identify the possible many genes underlying more common disorders, like **asthma**.

#### How to generate a genetic map?

- Initially, to produce a genetic map, researchers collect blood or tissue samples from **family members** where a certain disease or trait is prevalent.
- Using various laboratory techniques, the scientists isolate DNA from these samples and examine it for the unique patterns seen only in family members who have the disease or trait.
- Before researchers identify the gene responsible for the disease or trait,
   DNA markers can tell them roughly where the gene is on the chromosome.

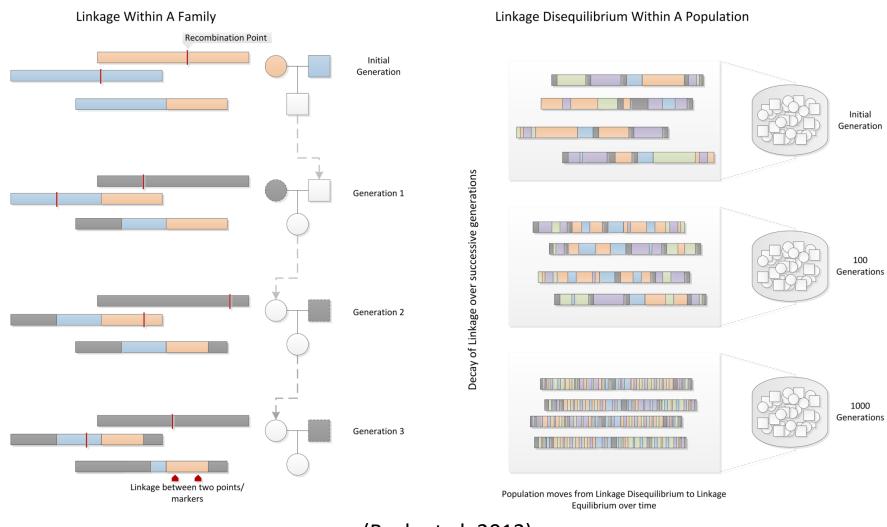
How is this possible?

#### How to generate a genetic map? (continued)

 This is possible because of recombination, the process we have introduced before.

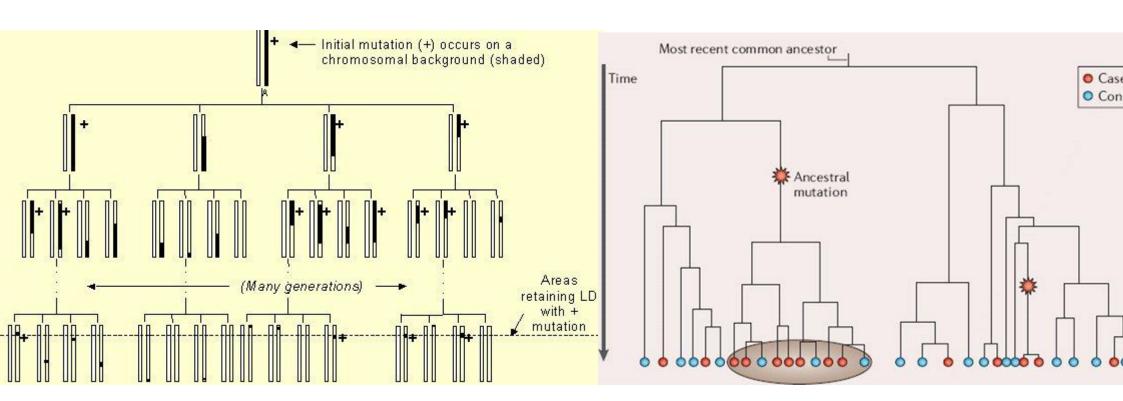
As eggs or sperm develop within a person's body, the 23 pairs of chromosomes within those cells exchange - or recombine - genetic material. If a particular gene is close to a DNA marker, the gene and marker will likely stay together during the recombination process, and be passed on together from parent to child. So, if each family member with a particular disease or trait also inherits a particular DNA marker, chances are high that the gene responsible for the disease lies near that marker.

#### **How to generate a genetic map?** (What is Linkage Disequilibrium – LD?)



(Bush et al. 2012)

#### **How to generate a genetic map?** (What is Linkage Disequilibrium – LD?)

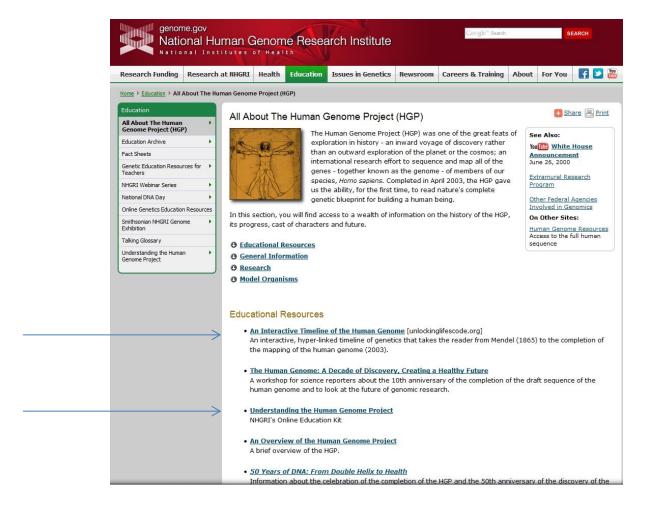


#### How to generate a genetic map? (continued)

- The more DNA markers there are on a genetic map, the more likely it is that one will be closely linked to a disease gene and the easier it will be for researchers to zero-in on that gene.
- One of the first major achievements of the HGP was to develop dense maps of markers spaced evenly across the entire collection of human DNA.

(http://www.genome.gov/10000715#al-3)

#### 1.c "The Human Genome Project"



#### Historical overview (interludium)



# Gregor Mendel, the father of modern genetics, presents his research on experiments in plant hybridization

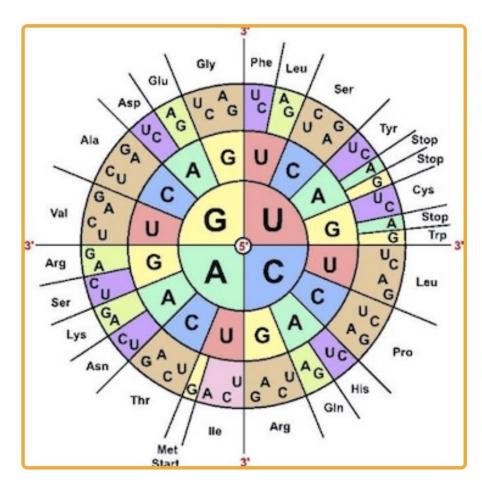
Gregor Mendel, a 19th century Augustinian monk, is called the father of modern genetics. He used a monastery garden for crossing pea plant varieties having different heights, colors, pod shapes, seed shapes, and flower positions. Mendel's experiments, between 1856 and 1863, revealed how traits are passed down from parents. For example, when he crossed yellow peas with green peas, all the offspring peas were yellow. But when these offspring reproduced, the next generation was <sup>3</sup>/<sub>4</sub> yellow and <sup>1</sup>/<sub>4</sub> green. Mendel's work, which was presented in 1865, showed that what we now call "genes" determine traits in predictable ways.



#### James Watson and Francis Crick discover the double helix structure of DNA

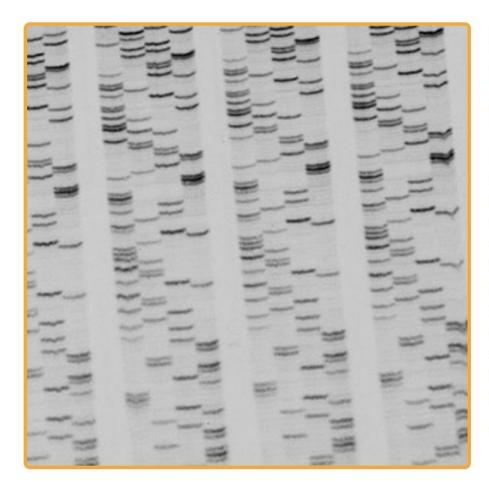
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When Francis Crick and James Watson modeled the structure of DNA, they used paper cutouts of the bases (A, C, G, T) and metal scraps from a machine shop. Their model represented DNA as a double helix, with sugars and phosphates forming the outer strands of the helix and the bases pointing into the center. Hydrogen bonds connect the bases, pairing A–T and C–G; and the two strands of the helix are parallel but oriented in opposite directions. Their 1953 paper notes that the model "immediately suggests a possible copying mechanism for the genetic material."



# Marshall Nirenberg cracks the genetic code for protein synthesis

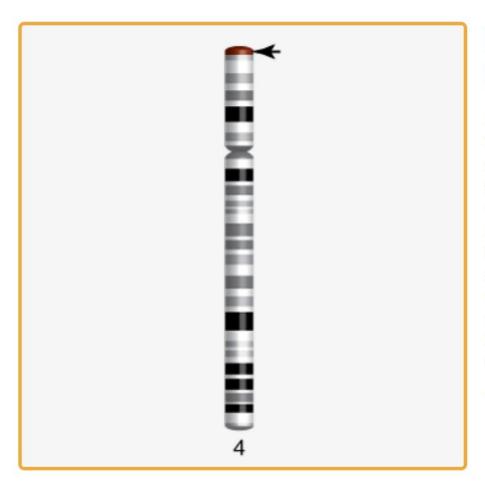
In the early 1960s, Marshall Nirenberg and National Institutes of Health colleagues focused on how DNA directs protein synthesis and the role of RNA in these processes. Their 1961 experiment, using a synthetic messenger RNA (mRNA) strand that contained only uracils (U), yielded a protein that contained only phenylalanines. Identifying UUU (three uracil bases in a row) as the RNA code for phenylalanine was their first breakthrough. Within a few years, Nirenberg's team had cracked the 60 mRNA codons for all 20 amino acids. In 1968, Nirenberg shared the Nobel Prize in Physiology or Medicine for his contributions to breaking the genetic code and understanding protein synthesis.



## Frederick Sanger develops rapid DNA sequencing technique

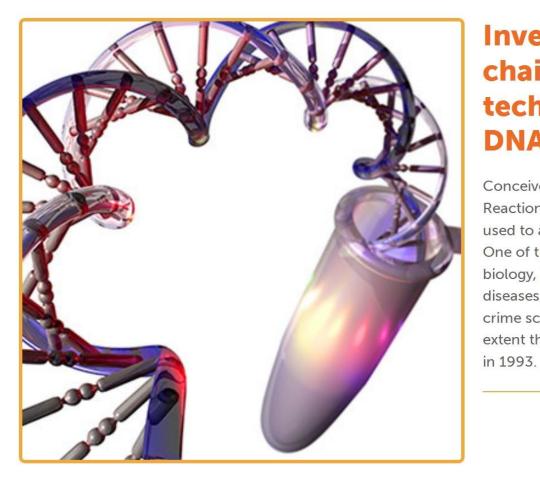
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In 1977, Frederick Sanger developed the classical "rapid DNA sequencing" technique, now known as the Sanger method, to determine the order of bases in a strand of DNA. Special enzymes are used to synthesize short pieces of DNA, which end when a selected "terminating" base is added to the stretch of DNA being synthesized. Typically, each of these terminating bases is tagged with a radioactive marker, so it can be identified. Then the DNA fragments, of varying lengths, are separated by how rapidly they move through a gel matrix when an electric field is applied – a technique called electrophoresis. Frederick Sanger shared the 1980 Nobel Prize in Chemistry for his contributions to DNA-sequencing methods.



### First genetic disease mapped, Huntington's Disease

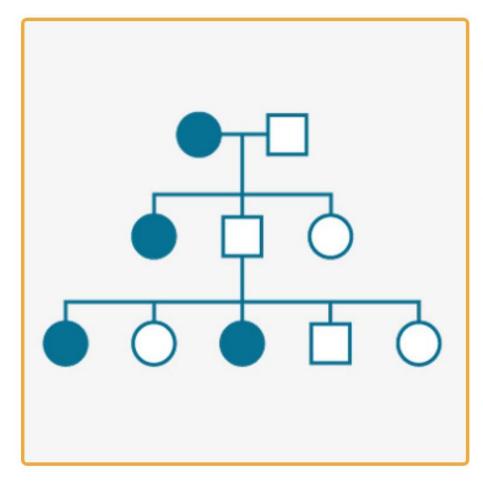
Huntington's disease (HD) causes the death of specific neurons in the brain, leading to jerky movements, physical rigidity, and dementia. Symptoms usually appear in midlife and worsen progressively. The location of the HD gene, whose mutation causes Huntington's disease, was mapped to chromosome 4 in 1983, making HD the first disease gene to be mapped using DNA polymorphisms – variants in the DNA sequence. The mutation consists of increasing repetitions of "CAG" in the DNA that codes for the protein huntingtin. The number of CAG repeats may increase when passed from parent to child, leading to earlier HD onset in each generation. The gene was finally isolated in 1993.



# Invention of polymerase chain reaction (PCR) technology for amplifying DNA

Conceived in 1983 by Kary Mullis, the Polymerase Chain Reaction (PCR) is a relatively simple and inexpensive technology used to amplify or make billions of copies of a segment of DNA. One of the most important scientific advances in molecular biology, PCR amplification is used every day to diagnose diseases, identify bacteria and viruses, and match criminals to crime scenes. PCR revolutionized the study of DNA to such an extent that Dr. Mullis was awarded the Nobel Prize in Chemistry





## First evidence provided for the existence of the BRCA1 gene

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BRCA1 (BReast CAncer gene 1) is a "tumor suppressor gene," which normally produces a protein that prevents cells from growing and dividing out of control. However, certain variations of BRCA1 can disrupt its normal function, leading to increased hereditary risk for cancer. The first evidence for existence of the BRCA1 gene was provided in 1990 by the King laboratory at University of California Berkeley. After a heated international race, the gene was finally isolated in 1994. Today, researchers have identified more than 1,000 mutations of the BRCA1 gene, many of them associated with increased risk of cancer, particularly breast and ovarian cancers in women.



### **The Human Genome Project begins**



Beginning in 1984, the U.S. Department of Energy (DOE), National Institutes of Health (NIH), and international groups held meetings about studying the human genome. In 1988, the National Research Council recommended starting a program to map the human genome. Finally, in 1990, NIH and DOE published a plan for the first five years of an expected 15-year project. The project would develop technology for analyzing DNA; map and sequence human and other genomes — including fruit flies and mice; and study related ethical, legal, and social issues.

#### THE HUMAN GENOME

#### The Sequence of the Human Genome

J. Craig Venter, <sup>1</sup>\* Mark D. Adams, <sup>1</sup> Eugene W. Myers, <sup>1</sup> Peter W. Li, <sup>1</sup> Richard J. Mural, <sup>1</sup> Granger G. Sutton, <sup>1</sup> Hamilton O. Smith, <sup>1</sup> Mark Yandell, <sup>1</sup> Cheryl A. Brans, <sup>1</sup> Robert A. Holt, <sup>1</sup> Jeannine D. Goczyne, <sup>1</sup> Peter Amanatides, <sup>1</sup> Richard M. Ballew, <sup>1</sup> Daniel H. Huson, <sup>1</sup> Jennifer Russo Wortman, 1 Qing Zhang, 1 Chinnappa D. Kodira, 1 Xianggun H. Zheng 1 Lin Chen, 1 Marian Skupski, Gangadharan Subramanian, Paul D. Thomas, Jinghui Zhang, George L. Gabor Miklos, <sup>2</sup> Catherine Nelson, <sup>3</sup> Samuel Broder, <sup>1</sup> Andrew G. Clark, <sup>4</sup> Joe Nadeau, <sup>5</sup> Victor A, McKusick, Norton Zinder, Arnold J, Levine, Richard J, Roberts, Mel Simon, Carolyn Slayman, 10 Michael Hunkapiller, 11 Randall Bolanos, 1 Arthur Delcher, 1 Ian Dew, 1 Daniel Fasulo, 1 Michael Flanigan, Lilliana Florea, Aaron Halpern, Sridhar Hannenhalli, Saul Kravitz, Samuel Levy, Clark Mobarry, 1 Knut Reinert, 1 Karin Remington, 1 Jane Abu-Threideh, 1 Ellen Beasley, 1 Kendra Biddick, Vivien Bonazzi, Rhonda Brandon, Michele Cargill, Ishwar Chandramouliswaran, Rosane Charlab, Kabir Chaturvedi, <sup>1</sup> Zuoming Deng, <sup>1</sup> Valentina Di Francesco, <sup>1</sup> Patrikk Dunn, <sup>1</sup> Karen Elibeck, <sup>1</sup> Carlos Brangelista, <sup>1</sup> Andrei E. Gabriellan, <sup>1</sup> Weiniu Gan, <sup>1</sup> Wangmao Ge, <sup>1</sup> Fangchang Gong, <sup>1</sup> Zhiping Gu, <sup>1</sup> Ping Guan, <sup>1</sup> Thomas J. Heiman, <sup>1</sup> Maureen E. Higgins, <sup>1</sup> Rui-Ru Ji, <sup>1</sup> Zhaoxi Ke, <sup>1</sup> Karen A. Ketchum, <sup>1</sup> Zhongwu Lai, <sup>1</sup> Yiding Lei, <sup>1</sup> Zhenya Li, <sup>1</sup> Jizyin Li, <sup>1</sup> Yong Liang, <sup>1</sup> Xiaoying Lin, <sup>1</sup> Fu Lu, <sup>1</sup> Gennady V. Merkulov, <sup>1</sup> Natalia Milshina, <sup>1</sup> Helen M. Moore, <sup>1</sup> Ashwinikumar K Naik, <sup>1</sup> Vaibhav A. Narayan, 1 Beena Neelam, 1 Deborah Nusskern, 1 Douglas B. Rusch, 1 Steven Salzberg, 12 Wei Shao, <sup>1</sup> Bixiong Shue, <sup>1</sup> Jingtao Sun, <sup>1</sup> Zhen Yuan Wang, <sup>1</sup> Alhui Wang, <sup>1</sup> Xin Wang, <sup>1</sup> Jian Wang, <sup>1</sup> Ming-Hui Wei, <sup>1</sup> Ron Wides, <sup>12</sup> Chunlin Xiao, <sup>1</sup> Chunkua Yan, <sup>1</sup> Alison Yao, <sup>1</sup> Jane Ye, <sup>1</sup> Ming Zhan, <sup>1</sup> Weiging Zhang, 1 Hongyu Zhang, 1 Qi Zhao, 1 Liansheng Zheng, 1 Fei Zhong, 1 Wenyan Zhong, 1 Shlaoping C, Zhu, 1 Shaying Zhao, 12 Dennis Gilbert, 1 Suzanna Baumhueter, 1 Gene Spier, Christine Carter, Anibal Cravchik, Trever Woodage, Feroze Ali, Huijin An, Aderonke Awe, Danita Baldwin, Holly Baden, Mary Barnstead, Ian Barrow, Karen Beeson, Dana Busam, Arry Carver, Angela Center, Ming Lai Cheng, Liz Curry, Stove Danaher, Lionel Davenport, Raymond Desilets, Susanne Dietz, Kristina Dodson, Lisa Doup, Steven Ferriera, Neha Garg, Andres Gluecksmann, Brit Hart, Jason Haynes, Charles Haynes, Cheryl Heiner, Suzanne Hladun, Damon Hostin, 1 Jarrett Houck, 1 Timothy Howland, 1 Chinyere (begwam, 1 Jeffery Johnson, 1 Francis Kalush, 'Leslay Kline,' Shashi Koduru,' Amy Love, 'Felecia Mann,' David May,' Staven McCawley,' Tina Mdntosh,' Ivy MdHullan,' Mee Mey,' Linda Mey,' Brian Murphy,' Keith Nelson,' Cynthia Prannkoch,' Eric Pratts, 'Vinita Puri,' Hina Qureshi,' Matthew Reardon,' Robert Rodriguez,' Yu-Hul Rogers,' Deanna Rormblad,' Bob Ruhfel,' Richard Scott, 'Cynthia Sitter,' Michelle Smallwood, Erin Stewart, Renee Strong, Ellen Suh, Reginald Thomas, Ni Ni Tint, Sukyee Tso. 1 Claire Vech, 1 Gary Wang, 1 Joremy Wetter, 1 Sherita Williams, 1 Monica Williams, Sandra Windsor, 1 Emily Winn-Deen, 1 Koriellen Wolfe, 1 Jayshree Zaveri, 1 Karena Zaveri, 1 Josep F. Abril, 14 Roderic Guigo, 14 Michael J. Campbell, 1 Kimmen V. Sjolander, 1 Brian Karlak, 1 Anish Kejariwal, Huaiyu Mi, Betty Lazareva, Thomas Hatton, Apurva Narechania, Karen Diemer, Anushya Muruganujan, 1 Nan Guo, 1 Shinji Sato, 1 Vineet Bafna, 1 Sorin Istrail, 1 Ross Lippert, 1 Russell Schwartz, Brian Walenz, Shibu Yooseph, David Allen, Anand Basu, James Baxendale, Louis Blick, Marcelo Caminha, John Carnes-Stine, Parris Caulk, Yen-Hui Chiang, My Coyne, Carl Dahike, Anne Deslattes Mays, Maria Dombroski, Michael Donnelly, Dale Ely, Shiva Esparham, Carl Fosler, Harold Gire, Stephen Glanowski, Kenneth Glasser, Anna Glodek, Mark Gorokhov, Ken Graham, Barry Gropman, Michael Harris, Jeremy Heil, Scott Henderson, Jeffrey Hoover, Donald Jennings, Catherine Jordan, James Jordan, John Kasha, Leonid Kagan, Cheryl Kraft, Alexander Levitsky, 'Mark Lewis, 'Xingjun Liu,' John Lopez, 'Daniel Ma,' William Majoros, '
Joe McDaniel,' Sean Murphy,' Mark thew Newman, 'Trung Nguyen, 'Ngoc Nguyen,' Marc Nodell,'
Sue Pan,' Jim Peck, 'Marshall Peterson,' William Rowe,' Robert Sanders, 'John Scott,' Michael Simpson, Thomas Smith, Arlan Sprague, Timothy Stockwell, Russell Turner, Eli Venter, Mei Wang,1 Melyuan Wen,1 David Wu,1 Mitchell Wu,1 Ashley Xia,1 Ali Zandieh,1 Xiaohong Zhu1

16 FEBRUARY 2001 VOL 201 SCIENCE www.sciencemag.org

#### The sequence of the Human Genome – a milestone in modern medicine

- In June 2000 came the announcement that the majority of the human genome had in fact been sequenced, which was followed by the publication of 90 percent of the sequence of the genome's three billion base-pairs in the journal Nature, in February 2001
- Surprises accompanying the sequence publication included:
  - the relatively small **number of human genes**, perhaps as few as **30,000-35,000**;

Note:  $100,000 \rightarrow 30,000-35,000 \rightarrow 24,000 \rightarrow 19,000-20,000$ 

- the complex architecture of human proteins compared to their homologs similar genes with the same functions - in, for example, roundworms and fruit flies;
- the lessons to be taught by repeat sequences of DNA.



#### News About the Human Genome Project

Links to news releases announcing key moments in the hist

0 1994

O 1996

0 1998

0 1999

O 2000

0 2001

20032004

2004

#### March 24, 2004: International Sequencing Consortium Launches Online Resource

The National Human Genome Research Institute announces that the International Sequencing Consortium (ISC) has launched a free, online resource where scientists and the public can get the latest information on the status of sequencing projects for animal, plant and other eukaryotic genomes.

#### March 31, 2004: Scientists Compare Rat Genome With Human, Mouse

An international research team, supported by the National Institutes of Health (NIH), today announced it has completed a high-quality, draft sequence of the genome of the laboratory rat, and has used that data to explore how the rat's genetic blueprint stacks up against those of mice and humans.

#### April 21, 2004: NHGRI Scientists Return to the Classroom For Second Annual National DNA Day

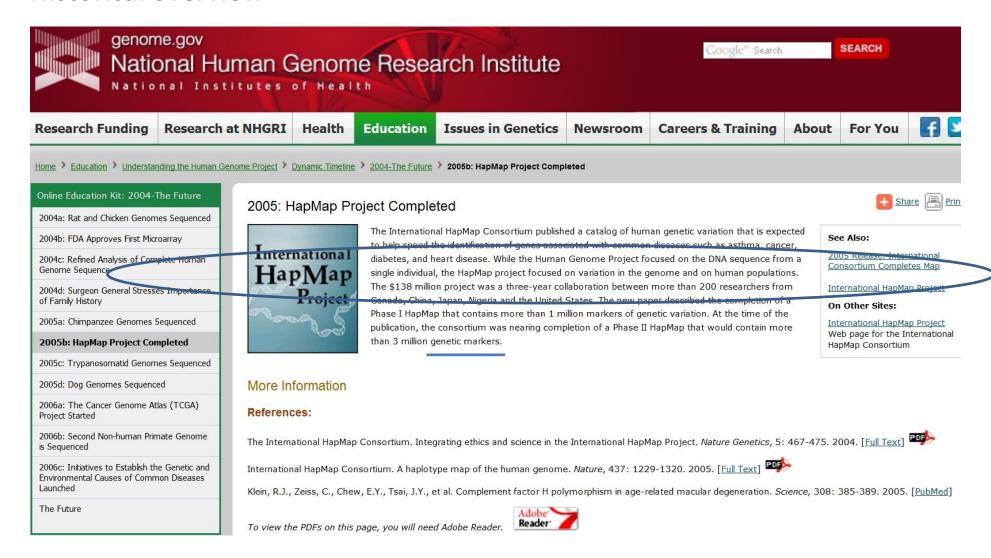
On April 30, dozens of researchers and staff from the National Human Genome Research Institute (NHGRI) will head back to high schools in rural and urban communities across the country to share with students some of the exciting research taking place at the National Institutes of Health (NIH).

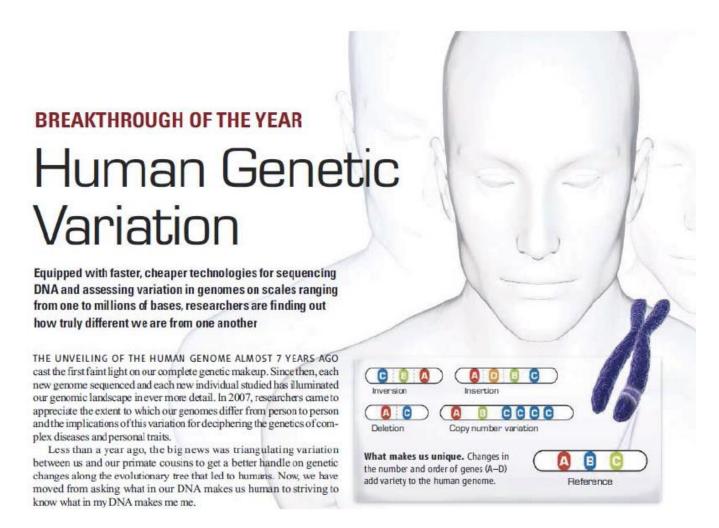
#### October 14, 2004: NHGRI Seeks Next Generation of Sequencing Technologies

The National Human Genome Research Institute (NHGRI), part of the National Institutes of Health (NIH), today announced it has awarded more than \$38 million in grants to spur the development of innovative technologies designed to dramatically reduce the cost of DNA sequencing, a move aimed at broadening the applications of genomic information in medical research and health care.

#### October 20, 2004: International Human Genome Sequencing Consortium Describes Finished Human Genome Sequence

The International Human Genome Sequencing Consortium, led in the United States by the National Human Genome Research Institute (NHCRI) and the Department of Energy (DOE), today published its scientific description of the finished human genome sequence, reducing the estimated number of human protein-coding genes from 35,000 to only 20,000-25,000, a surprisingly low number for our species.

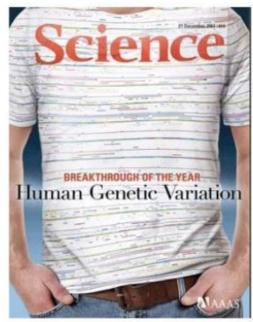




Pennisi 2007 Science 318:1842-3

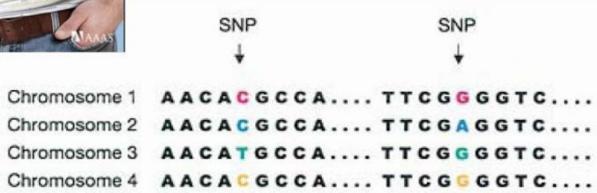
#### 2007 SCIENTIFIC BREAKTHROUGH OF THE YEAR

Science Magazine, December 21, 2007



"It's all about me!"

Single Nucleotide Polymorphisms (SNPs)



#### **Historical overview:** associating genetic variation to disease outcomes



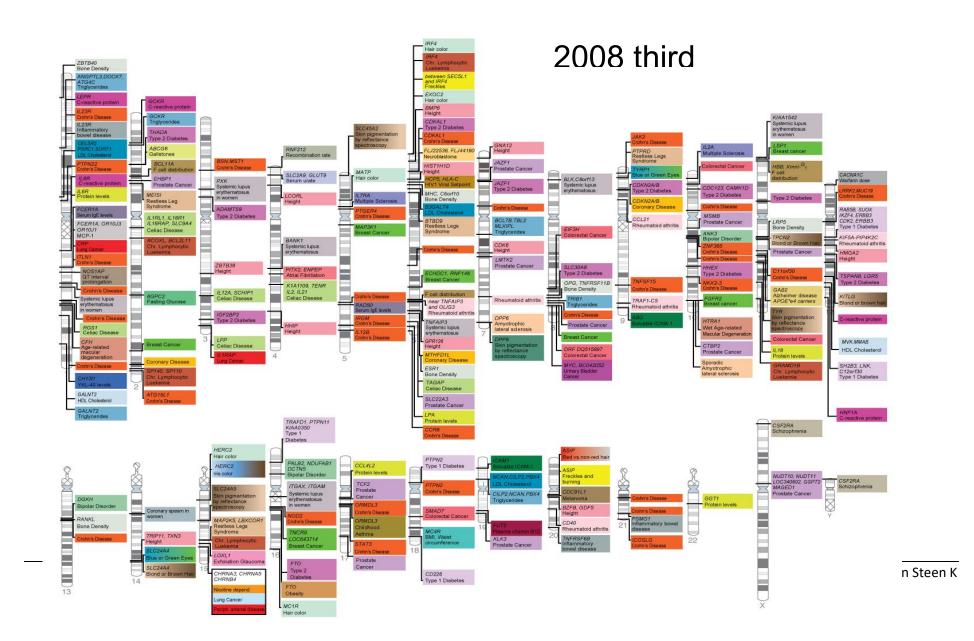
#### BREAKTHROUGH OF THE YEAR: The Runners-Up

Science **314**, 1850a (2006); DOI: 10.1126/science.314.5807.1850a

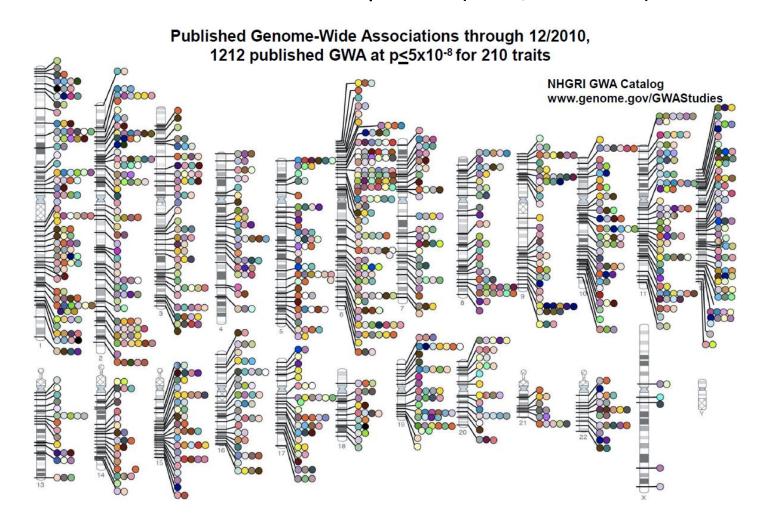
Areas to Watch in 2007

Whole-genome association studies. The trickle of studies comparing the genomes of healthy people to those of the sick is fast becoming a flood. Already, scientists have applied this strategy to macular degeneration, memory, and inflammatory bowel disease, and new projects on schizophrenia, psoriasis, diabetes, and more are heating up. But will the wave of data and new gene possibilities offer real insight into how diseases germinate? And will the genetic associations hold up better than those found the old-fashioned way?

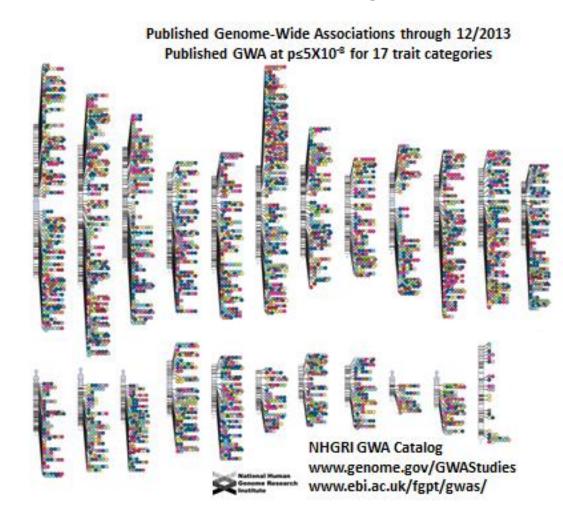
#### **Historical overview:** GWAs as a tool to "map" diseases



#### Historical overview: 210 traits – multiple loci (sites, locations)



#### **Historical overview:** trait categories



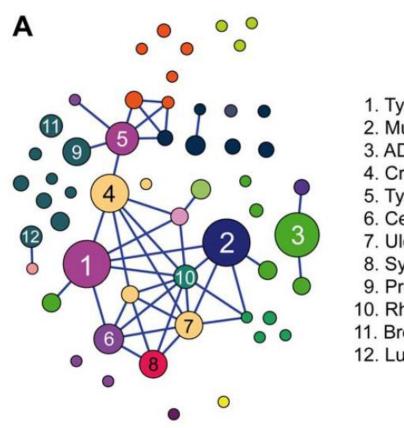
- Digestive system disease
- Cardiovascular disease
- Metabolic disease
- Immune system disease
- Nervous system disease
- Liver enzyme measurement
- Lipid or lipoprotein measurement
- Inflammatory marker measurement
- Hematological measurement
- Body measurement
- Cardiovascular measurment
- Other measurement
- Response to drug
- Biological process
- Cancer
- Other disease
- Other trait

#### Historical overview: trait categories and nr of SNPs

Date: 3/3/2020



#### **Historical overview:** inter-relationships (networks)

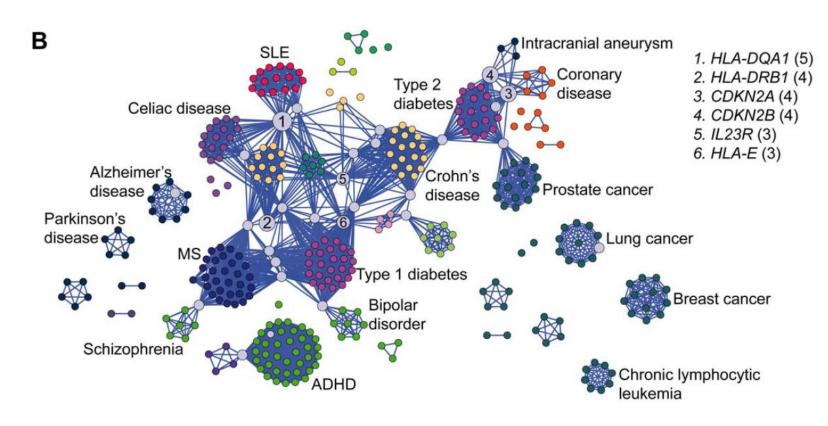


- 1. Type 1 diabetes (36)
- 2. Multiple sclerosis (36)
- 3. ADHD and conduct disorder (33)
- 4. Crohn's disease (27)
- 5. Type 2 diabetes (22)
- 6. Celiac disease (19)
- 7. Ulcerative colitis(17)
- 8. Systemic lupus erythematosus (17)
- 9. Prostate cancer (17)
- Rheumatoid arthritis (13)
- 11. Breast cancer (12)
- 12. Lung cancer (11)

- Cardiovascular diseases (Cv)
- Digestive system diseases
- Endocrine system diseases
- Eye diseases
- Immune system diseases (Is)
- Mental disorders
- Multiple diseases
- Musculoskeletal diseases (Ms)
- Ms, Sc, Is
- Neoplasms
- Nervous system diseases (Ns)
- Ns, Cv
- Ns, Is
- Ns, Ms
- Nutritional and metabolic diseases
- Nm, Es, Is
- Skin and connective tissue disease
- Sc, Is
- Urogenital diseases

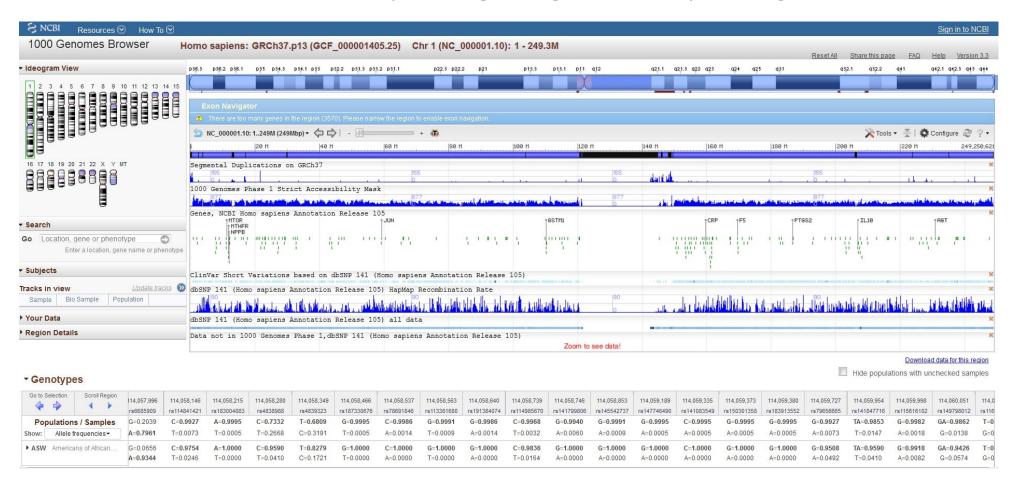
(Barrenas et al 2009: complex disease network – nodes are diseases)

#### **Historical overview:** inter-relationships (networks)

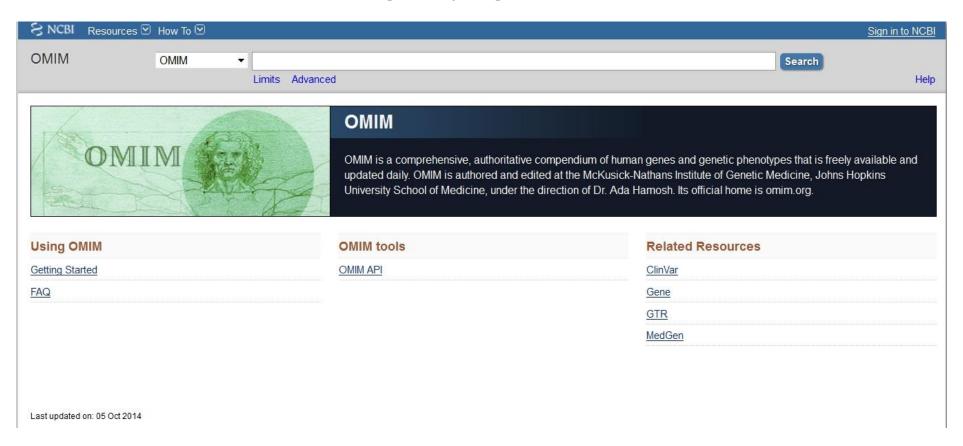


(Barrenas et al 2009: complex disease GENE network – nodes are genes)

#### Historical overview: exome sequencing, full genome sequencing



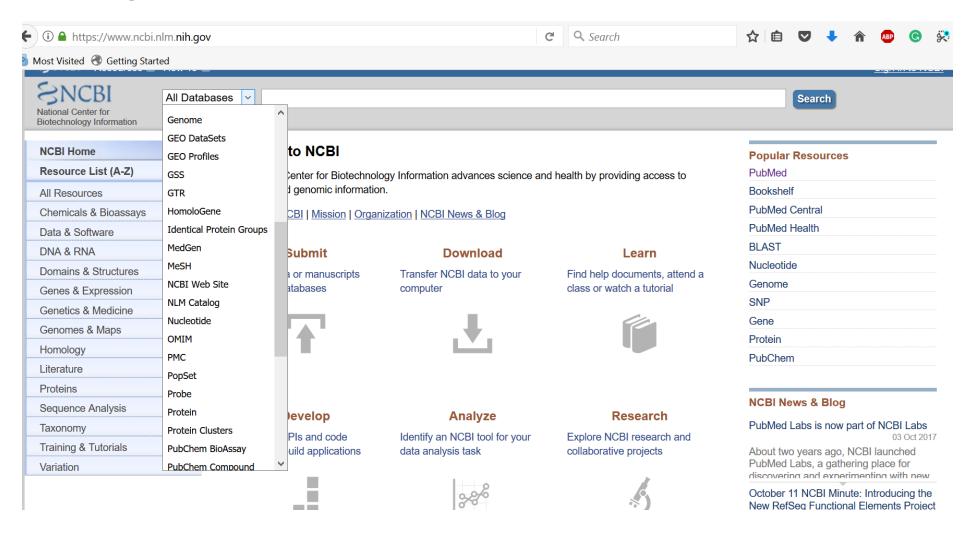
#### **Historical overview**: monitoring the progress



## **OMIM:** molecular dissection of human disease

- Online Mendelian Inheritance in Man (OMIM®) is a continuously updated catalog of human genes and genetic disorders and traits (i.e. coded phenotypes, where phenotype is any characteristic of the organism), with particular focus on the molecular relationship between genetic variation and phenotypic expression.
- It can be considered to be a phenotypic companion to the Human Genome Project. OMIM is a continuation of Dr. Victor A. McKusick's Mendelian Inheritance in Man, which was published through 12 editions, the last in 1998.
- OMIM is currently biocurated at the McKusick-Nathans Institute of Genetic Medicine, The Johns Hopkins University School of Medicine.
- Frequently asked questions: <a href="http://www.omim.org/help/faq">http://www.omim.org/help/faq</a>

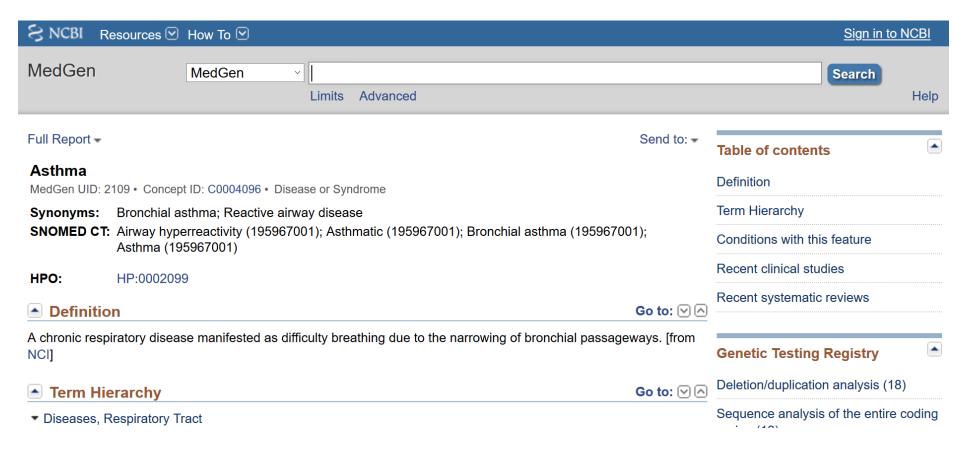
## **Accessing OMIM**



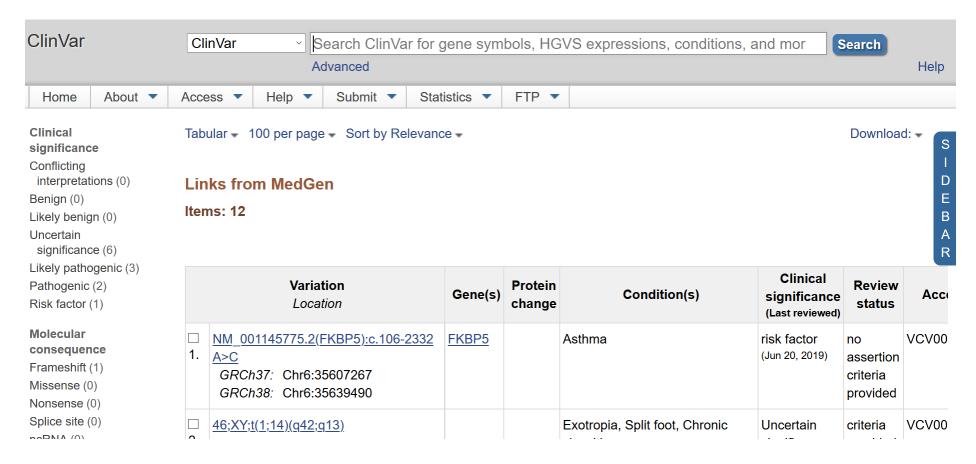
# Finding the trees for the forest



# Finding the trees for the forest (click on "Asthma")



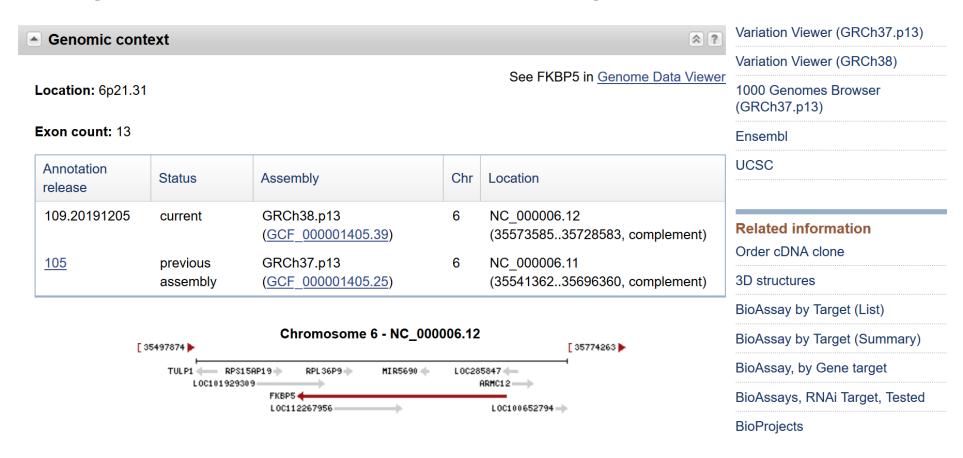
# Finding the trees for the forest (Click on ClinVar)



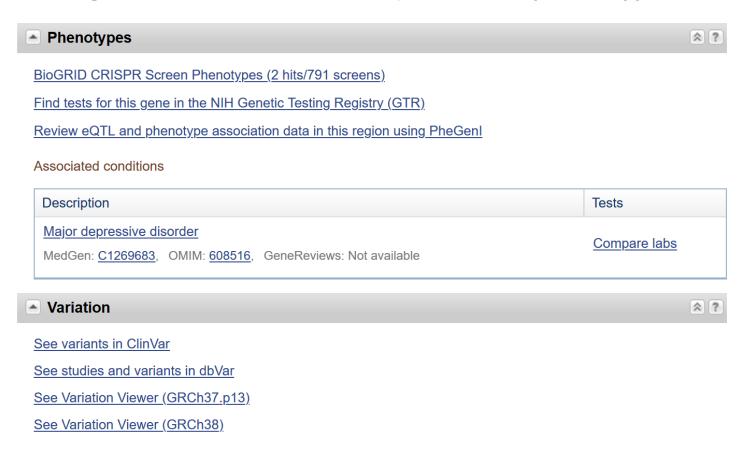
# Finding the trees for the forest (click on the gene)



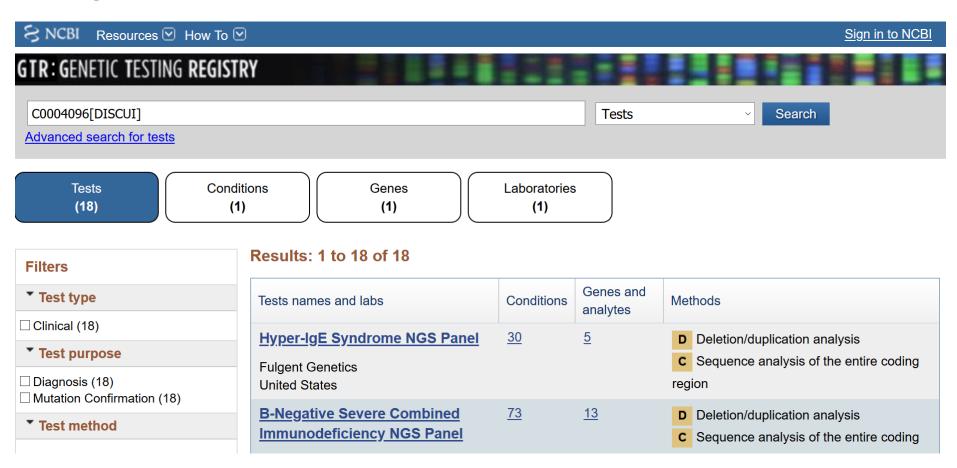
# Finding the trees for the forest (scroll down for genomic context etc)



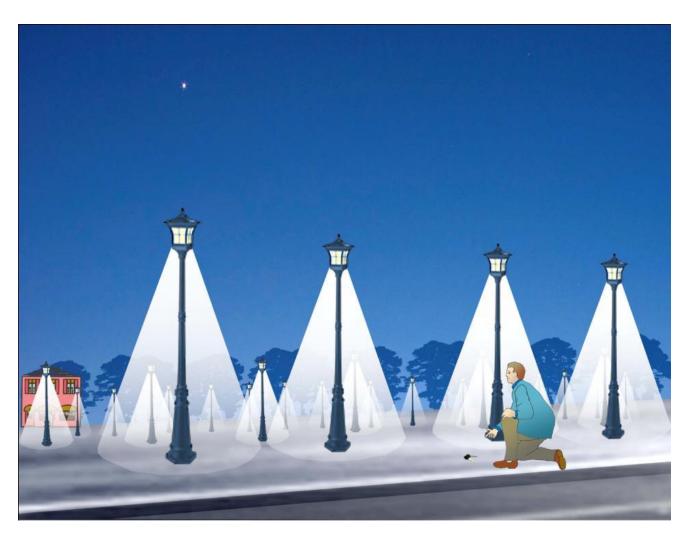
# Finding the trees for the forest (additional phenotypes and markers)



# Finding the trees for the forest (click on GTR instead of ClinVar)



# 2 The rise of GWAs



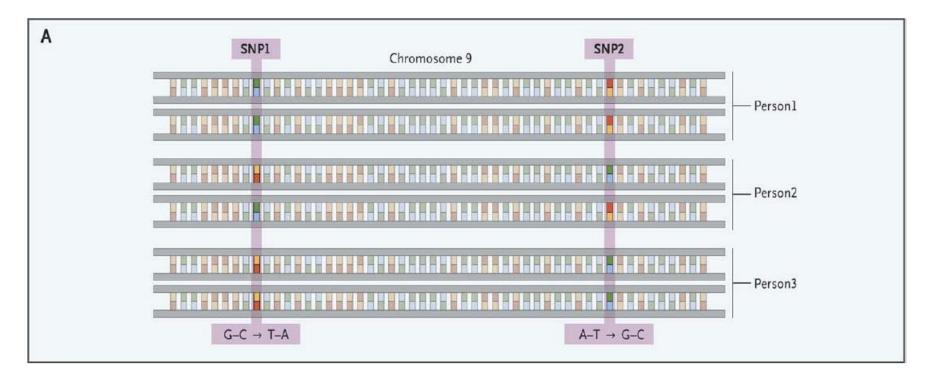
(slide Doug Brutlag 2010)

## What are GWAs?

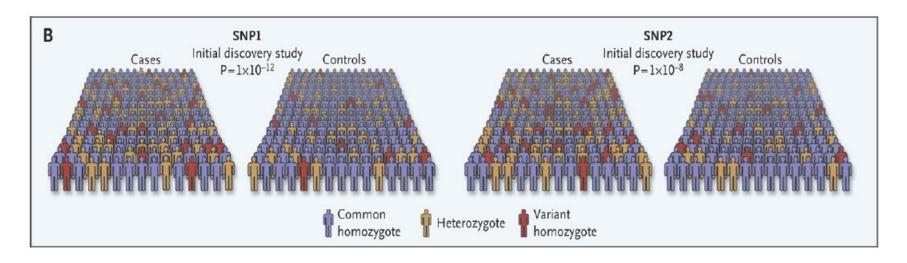
- A **genome-wide association study** is an approach that involves rapidly scanning markers across the complete sets of DNA, or genomes, of many people to find genetic variations associated with a particular trait.
- **Recall**: a **trait** can be defined as a coded phenotype, a particular characteristic such as hair color, BMI, disease, gene expression intensity level, ...

# Genome-wide association studies: basic principles

The genome-wide association study is typically (but not solely!!!) based on a case-control design in which single-nucleotide polymorphisms (SNPs) across the human genome are genotyped ... (Panel A: small fragment)



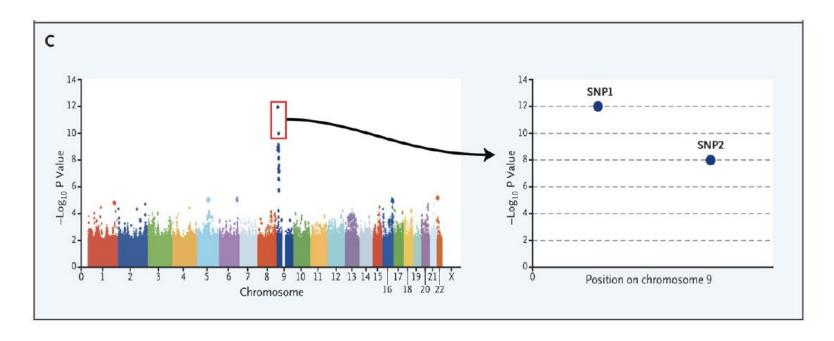
# Genome-wide association studies: basic principles



• Panel B, the strength of association between each SNP and disease is calculated on the basis of the prevalence of each SNP in cases and controls. In this example, SNPs 1 and 2 on chromosome 9 are associated with disease, with P values of 10<sup>-12</sup> and 10<sup>-8</sup>, respectively

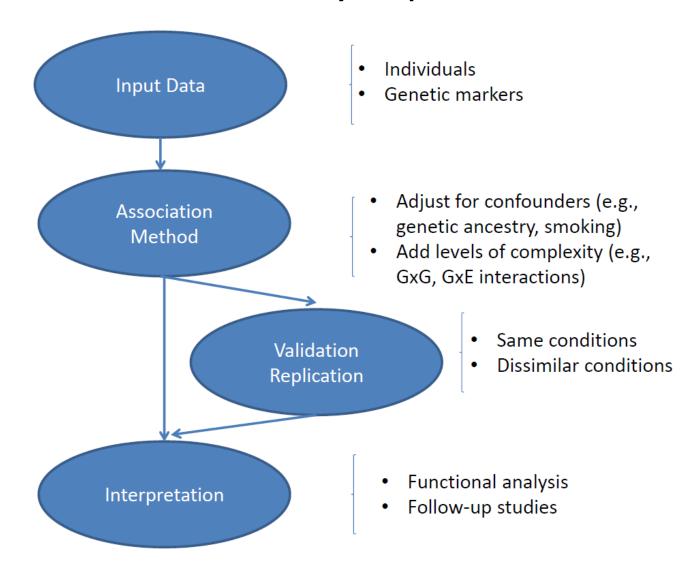
(Manolio 2010)

## **Genome-wide association studies: basic principles**



- The plot in Panel C shows the P values for all genotyped SNPs that have survived a quality-control screen (each chromosome, a different color).
- The results implicate a locus on chromosome 9, marked by SNPs 1 and 2, which are adjacent to each other (graph at right), and other neighboring SNPs.

# **Genome-wide association studies: key components**

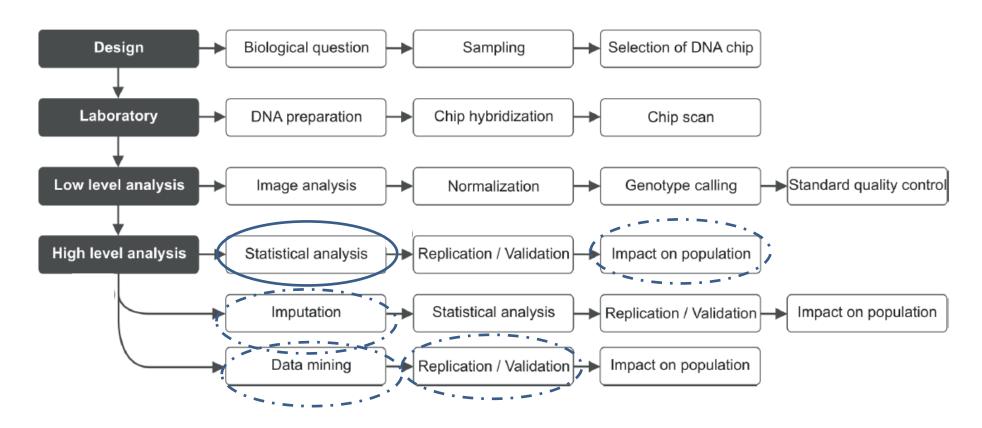


# Genome-wide association studies: key components

- To carry out a GWAs, several tools are needed, which include those that deal with data generation and data handling:
  - Computerized data bases with reference human genome sequence
  - Map of human genetic variation
  - Technologies that can quickly and accurately analyze (whole genome) samples for genetic variations that contribute to disease

(http://www.genome.gov/pfv.cfm?pageID=20019523)

# Detailed flow of a genome-wide association study



(Ziegler 2009)

## How to access GWAS results?

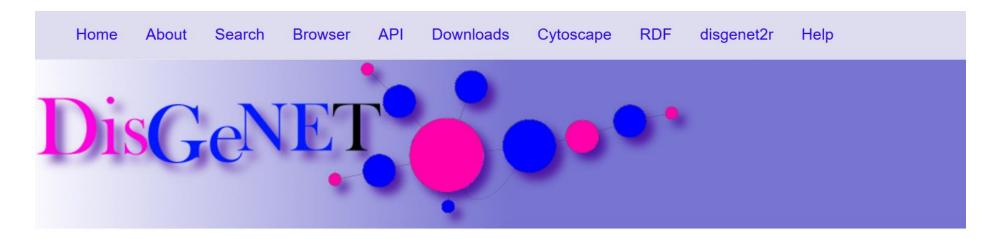
# View the GWAs catalogue (http://www.genome.gov/gwastudies/)

\_\_\_\_ 2317 studies (6/10/2014)

(Entries 1-50 of 2317)

Page 1 of 47 Next > Last >>

Date Added to Catalog (since 11/25/08)	First Author/Date/ Journal/Study	Disease/Trait	Initial Sample Description	Replication Sample Description	Region	Reported Gene(s)	Mapped Gene(s)	Strongest SNP-Risk Allele	Context	Risk Allele Frequency in Controls	P-value	OR or beta-coefficient and [95% CI]	Platform [SNPs passing QC]	CNV
	Chung CM March 03, 2014 Diabetes Metab Res Rev Common quantitative trait locus downstream of RETN gene identified by genome-wide association study is associated with risk of type 2 diabetes mellitus in Han Chinese: a Mendelian randomization effect.	Resistin levels	382 Han Chinese ancestry indiviudals	559 Han Chinese ancestry indiviudals	19p13.2	RETN	RETN - C19orf59	rs1423095-G		0.78	1×10 <sup>-7</sup>	.322 [0.25-0.40] ug/mL increase	Illumina [NR]	N
	Zhang B January 21, 2014 Int J Cancer Genome-wide association study identifies a new SMAD7 risk variant associated with colorectal cancer risk in East Asians.	Colorectal cancer	1,773 East Asian ancestry cases, 2,642 East Asian ancestry controls	6,902 East Asian ancestry cases, 7,862 East Asian ancestry controls	18q21.1	SMAD7	SMAD7	<u>rs7229639-A</u>	intron	0.145	3 x 10 <sup>-11</sup>		Affymetrix & Illumina [1,695,815] (imputed)	N
10/06/14	Xie T January 17, 2014 Neurobiol Aging A genome-wide association study combining	lateral sclerosis (sporadic)	250 Han Chinese ancestry cases, 250 Han	NA	View full set of 175 SNPs								Illumina [859,311] (pooled)	N
					NA	RAB9P1	NA	kgp22272527-?		NR	8 × 10 <sup>-11</sup>	NR		
		Chinese ancestry controls		NA	MYO18B	NA	kgp8087771-?		0.2	2 x 10 <sup>-10</sup>	3.0327 [2.212039-4.157817]	1		
	pathway analysis for typical	pical	CONTROLS		12q24.33	GPR133	GPR133	rs11061269-?	intron	0.08	8 × 10 <sup>-10</sup>	3.7761 [2.49-5.74]		
	sporadic				21q22.3	TMPRSS2	TMPRSS2 -	<u>rs9977018-?</u>		0.05	2 × 10 <sup>-9</sup>	NR		



DisGeNET is a discovery platform containing one of the largest publicly available collections of genes and variants associated to human diseases (Piñero *et al.*, 2019; Piñero *et al.*, 2016; Piñero *et al.*, 2015). DisGeNET integrates data from expert curated repositories, GWAS catalogues, animal models and the scientific literature. DisGeNET data are homogeneously annotated with controlled vocabularies and community-driven ontologies. Additionally, several original metrics are provided to assist the prioritization of genotype–phenotype relationships.

The current version of DisGeNET (v6.0) contains 628,685 gene-disease associations (GDAs), between 17,549 genes and 24,166 diseases, disorders, traits, and clinical or abnormal human phenotypes, and 210,498 variant-disease associations (VDAs), between 117,337 variants and 10,358 diseases, traits, and phenotypes.

## DisGeNet!

The information in DisGeNET can be accessed in several ways:

- The web interface, through the <u>Search</u> and <u>Browse</u> functionalities
- The Resource Description Framework (<u>DisGeNET-RDF</u>) representation via the <u>SPARQL endpoint</u>, and the <u>Faceted Browser</u>
- The <u>DisGeNET Cytoscape App</u>
- Scripts in the most commonly used programming languages
- The <u>disgenet2r</u> package.
- The SQLite database
- Tab separated files.

DisGeNET is a versatile platform that can be used for different research purposes including the *investigation of the molecular underpinnings of human diseases and their comorbidities, the analysis of the properties of disease genes, the generation of hypothesis on drug therapeutic action and drug adverse effects, the validation of computationally predicted disease genes and the evaluation of text-mining methods performance.* 

# Rise of bioinformatics determines rise of GWAs (1)

## BIOINFORMATICS APPLICATIONS NOTE Vol. 23 no. 10 2007, pages 1294–1296 doi:10.1093/bioinformatics/btm108

Genetics and population analysis

## GenABEL: an R library for genome-wide association analysis

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Associate Editor: Martin Bishop

#### **ABSTRACT**

Here we describe an R library for genome-wide association (GWA) analysis. It implements effective storage and handling of GWA data, fast procedures for genetic data quality control, testing of association of single nucleotide polymorphisms with binary or quantitative traits, visualization of results and also provides easy interfaces to standard statistical and graphical procedures implemented in base R and special R libraries for genetic analysis. We evaluated GenABEL using one simulated and two real data sets. We conclude that GenABEL enables the analysis of GWA data on desktop computers.

Availability: http://cran.r-project.org Contact: i.aoultchenko@erasmusmc.nl With these objectives in mind, we developed the GenABEL software, implemented as an R library. R is a free, open source language and environment for statistical analysis (http://www.r-project.org/). Building upon existing statistical analysis facilities allowed for rapid development of the package.

#### 2 IMPLEMENTATION

#### 2.1 Objective (1)

GWA data storage using standard R data types is ineffective. A SNP genotype for a single person may take four values (AA, AB, BB and missing). Two bits, therefore, are required to store these data. However, the standard R data types occupy 32 bits, leading to an overhead of 1500%, compared to the theoretical optimum. Use of the raw R data format, occupying

# Rise of bioinformatics determines rise of GWAs (2)

#### **BIOINFORMATICS**

Vol. 26 ISMB 2010, pages i208-i216 doi:10.1093/bioinformatics/btq191

# Multi-population GWA mapping via multi-task regularized regression

Kriti Puniyani, Seyoung Kim and Eric P. Xing\* School of Computer Science, Carnegie Mellon University, Pittsburgh, PA, USA

#### ABSTRACT

Motivation: Population heterogeneity through admixing of different founder populations can produce spurious associations in genome-wide association studies that are linked to the population structure rather than the phenotype. Since samples from the same population generally co-evolve, different populations may or may not share the same genetic underpinnings for the seemingly common phenotype. Our goal is to develop a unified framework for detecting causal genetic markers through a joint association analysis of multiple populations.

Results: Based on a multi-task regression principle, we present a multi-population group lasso algorithm using L1/L2-regularized regression for joint association analysis of multiple populations that are stratified either via population survey or computational estimation. Our algorithm combines information from genetic markers across populations, to identify causal markers. It also implicitly accounts for correlations between the genetic markers, thus enabling better control over false positive rates. Joint analysis across populations enables the detection of weak associations common to all populations with greater power than in a separate analysis of each population. At the same time, the regression-based framework allows causal alleles that are unique to a subset of the populations to be correctly identified. We demonstrate the effectiveness of our method on HapMap-simulated and lactase persistence datasets, where we significantly outperform state of the art methods, with greater power for detecting weak associations and reduced spurious associations. Availability: Software will be available at http://www.sailing.cs.cmu

the geographical distribution of the individuals. For example, it has been shown that such heterogeneity is present in the HapMap data (The International HapMap Consortium, 2005) across European, Asian and African populations; and heterogeneity at a finer scale within European ancestry has been found in many genomic regions in the UK samples of Wellcome trust case control consortium (WTCCC) dataset (Wellcome Trust Case Control Consortium, 2007). Although the standard assumption in existing approaches for association mapping is that the effects of causal mutations are likely to be common across multiple populations, the individuals in the same population or geographical region tend to co-evolve, and are likely to possess a population-specific causal allele for the same phenotype. For example, Tishkoff et al. (2006) reported that the lactase-persistence phenotype is caused by different mutations in Africans and Europeans. In addition, the same genetic variation has been observed to be correlated with gene-expression levels with different association strengths across different HapMap populations. Our goal is to be able to leverage information across multiple populations, to find causal markers in a multi-population association

#### 1.1 Highlights of this article

We propose a novel multi-task-regression-based technique that performs a joint GWA mapping on individuals from multiple populations, rather than separate analysis of each population, to detect associated genome variations. The joint inference is achieved by using a multi-population group lasso (MPGL), with an  $L_1/L_2$ 

### BIOINFORMATICS APPLICATIONS NOTE

Vol. 24 no. 1 2008, pages 140–142 doi:10.1093/bioinformatics/btm549

Genetics and population analysis

### GWAsimulator: a rapid whole-genome simulation program

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Received on July 20, 2007; revised on October 10, 2007; accepted on October 29, 2007

Advance Access publication November 15, 2007

Associate Editor: Martin Bishop

#### **ABSTRACT**

Summary: GWAsimulator implements a rapid moving-window algorithm to simulate genotype data for case-control or population samples from genomic SNP chips. For case-control data, the program generates cases and controls according to a user-specified multi-locus disease model, and can simulate specific regions if desired. The program uses phased genotype data as input and has the flexibility of simulating genotypes for different populations and different genomic SNP chips. When the HapMap phased data are used, the simulated data have similar local LD patterns as the HapMap data. As genome-wide association (GWA) studies become increasingly popular and new GWA data analysis methods are being developed, we anticipate that GWAsimulator will be an important tool for evaluating performance of new GWA analysis methods.

Availability: The C++ source code, executables for Linux, Windows and MacOS, manual, example data sets and analysis program are available at http://biostat.mc.vanderbilt.edu/GWAsimulator

Contact: chun.li@vanderbilt.edu

**Supplementary information:** Supplementary data are available at *Bioinformatics* online.

#### 2 METHODS

The program can generate unrelated case-control (sampled retrospectively conditional on affection status) or population (sampled randomly) data of genome-wide SNP genotypes with patterns of LD similar to the input data.

#### 2.1 Phased input data and control file

The program requires phased data as input. If the HapMap data are used, the number of phased autosomes and X chromosomes are 120 and 90 for both CEU and YRI, 90 and 68 for CHB, and 90 and 67 for JPT. Additional parameters needed by the program should be provided in a control file, including disease model (see Section 2.2), window size (see Section 2.3), whether to output the simulated data (see Section 2.4), and the number of subjects to be simulated.

#### 2.2 Determination of disease model

For simulations of case-control data, a disease model is needed. The program allows the user to specify disease model parameters, including disease prevalence, the number of disease loci, and for each disease locus, its location, risk allele and genotypic relative risk. If the

Downloaded from http://bioinformatics.oxfordjournals.org

# Rise of bioinformatics determines rise of GWAs (4)

### BIOINFORMATICS APPLICATIONS NOTE

Vol. 25 no. 5 2009, pages 662–663 doi:10.1093/bioinformatics/btp017

Genome analysis

# AssociationViewer: a scalable and integrated software tool for visualization of large-scale variation data in genomic context

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<sup>1</sup>Swiss Institute of Bioinformatics, <sup>2</sup>Ludwig Institute for Cancer Research, 1015 Lausanne and <sup>3</sup>Institute of Microbiology, University Hospital, University of Lausanne, 1011 Lausanne, Switzerland

Received on September 16, 2008; revised on December 16, 2008; accepted on January 5, 2009

Advance Access publication January 25, 2009

Associate Editor: John Quackenbush

#### **ABSTRACT**

Summary: We present a tool designed for visualization of large-scale genetic and genomic data exemplified by results from genome-wide association studies. This software provides an integrated framework to facilitate the interpretation of SNP association studies in genomic context. Gene annotations can be retrieved from Ensembl, linkage disequilibrium data downloaded from HapMap and custom data imported in BED or WIG format. AssociationViewer integrates functionalities that enable the aggregation or intersection of data tracks. It implements an efficient cache system and allows the display of several, very large-scale genomic datasets.

Availability: The Java code for AssociationViewer is distributed under the GNU General Public Licence and has been tested on Microsoft Windows XP, MacOSX and GNU/Linux operating systems. It is available from the SourceForge repository. This also includes Java webstart, documentation and example datafiles.

Contact: brian.stevenson@licr.org

**Supplementary information:** Supplementary data are available at http://sourceforge.net/projects/associationview/ online.

represented in BED or WIG format and implements aggregation (union) or intersection of data tracks.

#### 2 PROGRAM OVERVIEW

#### 2.1 Cache and memory management

With increasing data volumes, efficient resource management is essential. One approach is to store the data in a cache with fast indexing mechanisms to retrieve the data, and to keep in memory only the information that is visualized. We implemented such a system in AssociationViewer. For comparison, loading a single dataset with 500 K SNPs in WGAViewer needs about 224 MB of RAM, whereas loading 10 different datasets (a total of 10 M data points) and displaying all genes on chromosome 1 needs only 50 MB in AssociationViewer.

#### 2.2 Data import and export

A typical GWA dataset consists of a list of SNPs with P-values derived from an association analysis. In AssociationViewer, such

## **Bioconductor**

Method



# Bioconductor: open software development for computational biology and bioinformatics

Robert C Gentleman<sup>1</sup>, Vincent J Carey<sup>2</sup>, Douglas M Bates<sup>3</sup>, Ben Bolstad<sup>4</sup>, Marcel Dettling<sup>5</sup>, Sandrine Dudoit<sup>4</sup>, Byron Ellis<sup>6</sup>, Laurent Gautier<sup>7</sup>, Yongchao Ge<sup>8</sup>, Jeff Gentry<sup>1</sup>, Kurt Hornik<sup>9</sup>, Torsten Hothorn<sup>10</sup>, Wolfgang Huber<sup>11</sup>, Stefano Iacus<sup>12</sup>, Rafael Irizarry<sup>13</sup>, Friedrich Leisch<sup>9</sup>, Cheng Li<sup>1</sup>, Martin Maechler<sup>5</sup>, Anthony J Rossini<sup>14</sup>, Gunther Sawitzki<sup>15</sup>, Colin Smith<sup>16</sup>, Gordon Smyth<sup>17</sup>, Luke Tierney<sup>18</sup>, Jean YH Yang<sup>19</sup> and Jianhua Zhang<sup>1</sup>

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The electronic version of this article is the complete one and can be found online at http://genomebiology.com/2004/5/10/R80

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Home Install Help Developers About

# About *Bioconductor*

Bioconductor provides tools for the analysis and comprehension of high-throughput genomic data. Bioconductor uses the R statistical programming language, and is open source and open development. It has two releases each year, 1024 software packages, and an active user community. Bioconductor is also available as an AMI (Amazon Machine Image) and a series of Docker images.

#### News

- Bioconductor <u>F1000 Research Channel</u> launched.
- Bioconductor 3.1 is available.
- Orchestrating high-throughput genomic analysis with Bioconductor (abstract) and other recent literature

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- Package guidelines
- New package submission

(http://www.bioconductor.org/)

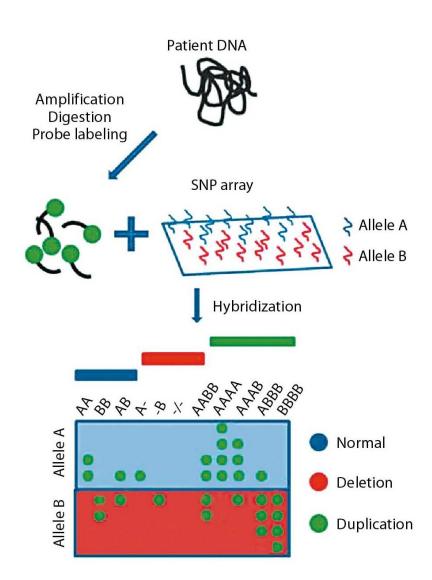
# 3 Study Design

# Components of a study design for GWA studies

- The design of a genetic association study may refer to
  - study scale:
    - Genetic (e.g., hypothesis-drive, panel of candidate genes)
    - Genomic (e.g., hypothesis-free, genome-wide)
  - marker design:
    - Which markers are most informative in GWAs? Common variants-SNPs and/or Rare Variants (MAF<1%)</li>
    - Which platform is the most promising? Least error-prone? Markerdistribution over the genome?
  - subject design

## 3.a Marker Level

- Costs may play a role, but a balance is needed between costs and chip/sequencing platform performance
- Coverage also plays a role (e.g., exomes only or a uniform spread).
- When choosing Next Generation
   Sequencing platforms, also rare
   variants can be included in the
   analysis, in contrast to the older
   SNP-arrays (see right panel).



## From common variants towards including rare variants

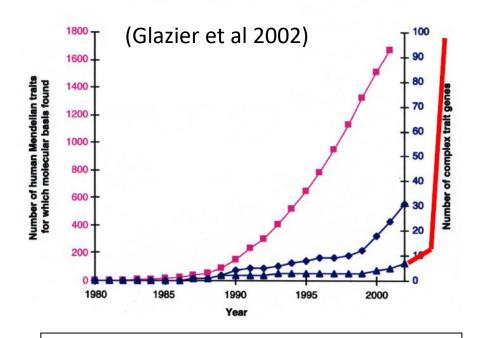
- Hypothesis 1 for GWAs: Common Disease Common Variant (CDCV):
  - This hypothesis argues that **genetic variations with appreciable frequency** in the population at large, but **relatively low penetrance** (i.e. the probability that a carrier of the relevant variants will express the disease), are the major contributors to genetic susceptibility to common diseases (Lander, 1996; Chakravarti, 1999; Weiss & Clark, 2002; Becker, 2004).
  - The hypothesis speculates that the gene variation underlying susceptibility to common heritable diseases existed within the **founding** population of contemporary humans → explains the success of GWAs?

# From common variants towards including rare variants

- Hypothesis 2 for GWAs: Common Disease Rare Variant (CDRV):
  - This hypothesis argues that **rare DNA sequence variations**, each with **relatively high** (moderate to high) **penetrance**, are the major contributors to genetic susceptibility to common diseases.
  - Some argumentations behind this hypothesis include that by reaching an appreciable frequency for common variations, these variations are not as likely to have been subjected to negative selection. Rare variations, on the other hand, may be rare because they are being selected against due to their deleterious nature.

There is room for both hypothesis in current research! (Schork et al. 2009)

# Identified # of traits for which a molecular basis exists: importance of SNPs



PINK: Human Mendelian traits

BLUE middle line : All complex traits

BLUE bottom line + red extension:

Human complex traits

# **Complex disease (definition):**

The term complex trait/disease refers to any phenotype that

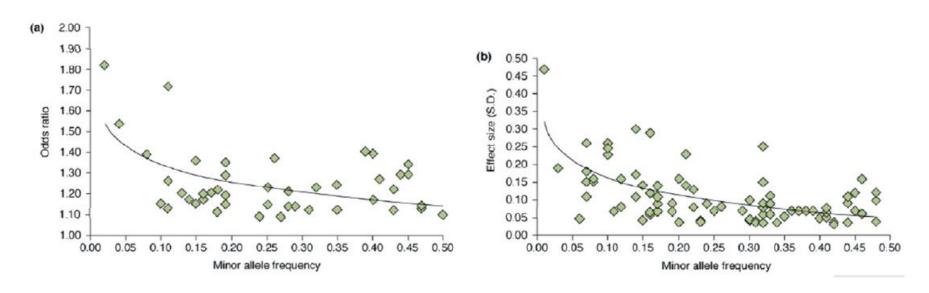
does NOT exhibit classic Mendelian inheritance attributable to a single gene;

although they may exhibit familial tendencies (familial clustering, concordance among relatives).

## **Distribution of SNP "effects"**

## **Dichotomous Traits**

# **Quantitative Traits**



Arking & Chakravarti 2009 Trends Genet

# Food for thought:

- The higher the MAF, the lower the effect size
- Rare variants analysis is in its infancy in 2009 ....

# 3.b Subject Level

Aim	Selection scheme				
Increased effect size	Extreme sampling: Severely affected cases vs. extremely				
	normal controls				
Genes causing early	Affected, early onset vs. normal, elderly				
onset					
Genes with large /	Cases with positive family history vs. controls with				
moderate effect size	negative family history				
Specific GxE interaction	Affected vs. normal subjects with heavy environmental				
	exposure				
Longevity genes	Elderly survivors serve as cases vs. young serve as controls				
Control for covariates	Affected with favorable covariates vs. normal with				
with strong effect	unfavorable covariate				

Morton & Collins 1998 Proc Natl Acad Sci USA 95:11389

# Popular design 1: cases and controls

# <u>Avoiding bias – checking assumptions:</u>

- 1. Cases and controls drawn from same population
- 2. Cases representative for all cases in the population
- 3. All data collected similarly in cases and controls

### **Advantages:**

- 1. Simple
- 2. Cheap
- 3. Large number of cases and controls available
- 4. Optimal for studying rare diseases

## **Disadvantages:**

- 1. Population stratification
- 2. Prone to batch effects and other biases
- 3. Case definition / severity
- 4. Overestimation of risk for common diseases

# Popular design 2: family-based

# <u>Avoiding bias – checking assumptions:</u>

- 1. Families representative for population of interest
- 2. Same genetic background in both parents

## **Advantages:**

- 1. Controls immune to population stratification (no association without linkage, no "spurious" (false positive) association)
- 2. Checks for Mendelian inheritance possible (fewer genotyping errors)
- 3. Parental phenotyping not required (late onset diseases)

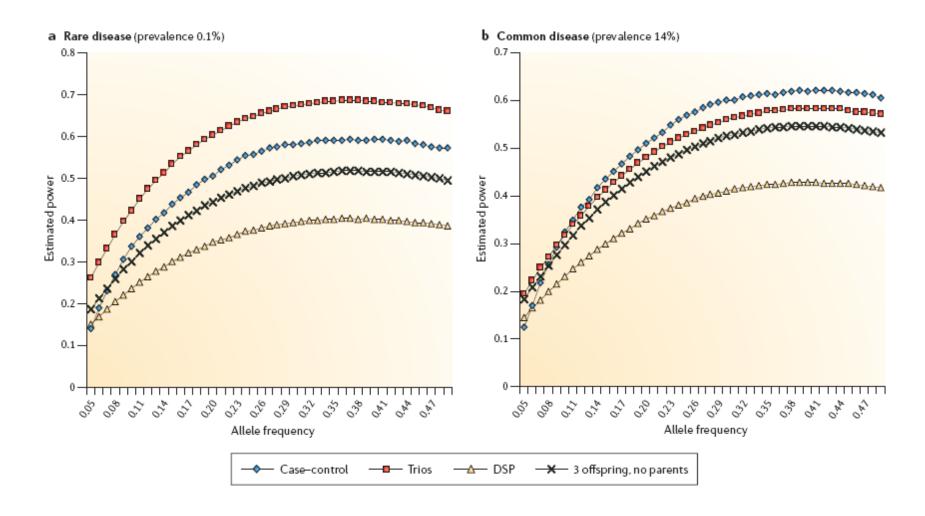
- 4. Simple logistics for diseases in children
- 5. Allows investigating imprinting ("bad allele" from father or mother?)

## <u>Disadvantages</u>

- 1. Cost inefficient
- 2. Sensitive to genotyping errors
- Lower power when compared with case-control studies

# Some more power considerations

• Rare versus common diseases (Lange and Laird 2006)



# 4 Pre-analysis steps

# 4.a Quality control

#### **Standard file format for GWA studies**

Standard data format: tped = transposed ped format file

FamID	PID	FID	MID	SEX	AFF	SNP1 <sub>1</sub>	SNP1 <sub>2</sub>	SNP2 <sub>1</sub>	SNP2 <sub>2</sub>
1	1	0	0	1	1	Α	Α	G	Т
2	1	0	0	1	1	Α	С	Т	G
3	1	0	0	1	1	С	С	G	G
4	1	0	0	1	2	Α	С	Т	Т
5	1	0	0	1	2	С	С	G	Т
6	1	0	0	1	2	С	С	Т	Т

ped file

Chr	SNP name	Genetic distance	<b>Chromosomal position</b>			
1	SNP1	0	123456			
1	SNP2	0	123654			

map file

# Standard file format for GWA studies (continued)

Chr	SNP	Gen. dist.	Pos	PII	1	PII	2	PII	3	PII	) 4	PII	5 (	PII	06
1	SNP1	0	123456	Α	Α	Α	С	С	С	Α	С	С	С	С	С
1	SNP2	0	123654	G	Т	G	Т	G	G	Т	Т	G	Т	Т	Т

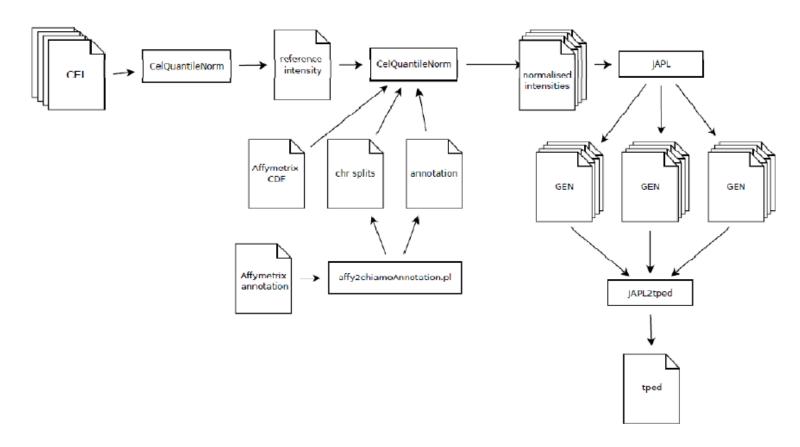
tfam file: First 6 columns of standard ped file

tped file

FamID	PID	FID	MID	SEX	AFF
1	1	0	0	1	1
2	1	0	0	1	1
3	1	0	0	1	1
4	1	0	0	1	2
5	1	0	0	1	2
6	1	0	0	1	2

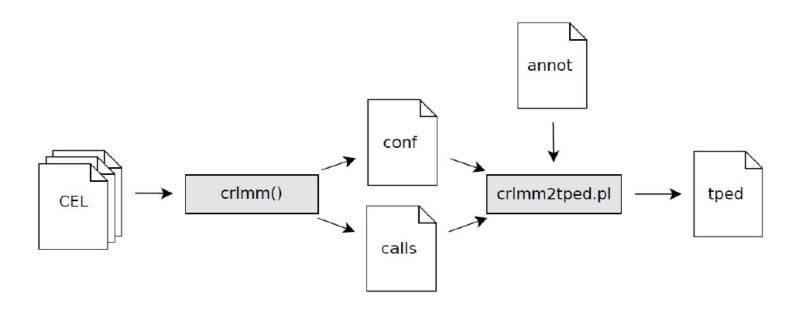
tfam file

# Note: data flow prior to analysis depends on calling algorithm



Genotype calling algorithm: JAPL

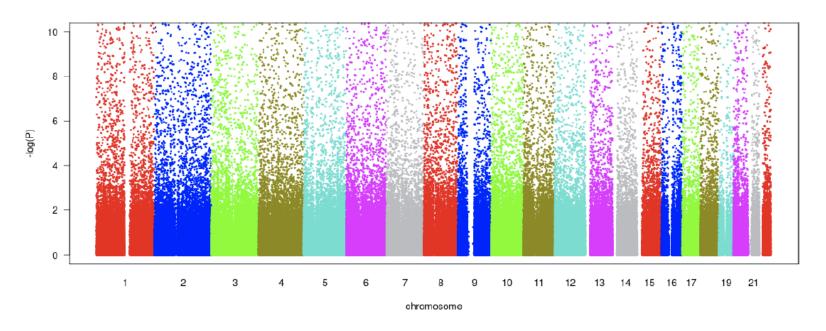
# Note: data flow prior to analysis depends on calling algorithm



Genotype calling algorithm: CRLMM

# Why is quality control (QC) important?

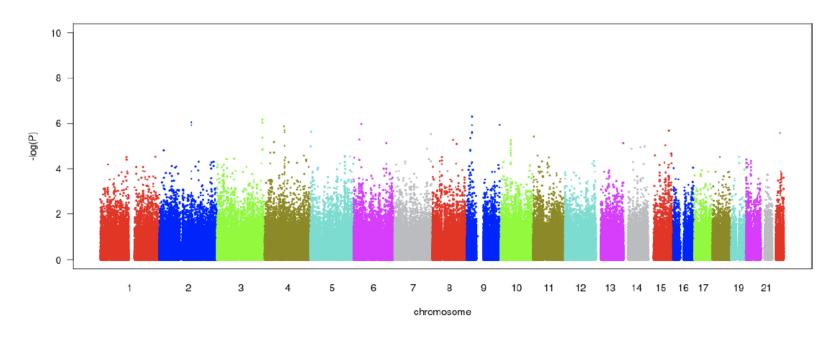
#### **BEFORE QC** → true signals are lost in false positive signals



Ger MI FS I, Affymetrix 500k array set, SNPs on chip: 493,840

# Why is quality control important?

**AFTER QC**  $\rightarrow$  skyline of Manhattan ( $\rightarrow$  name of plot: Manhattan plot):



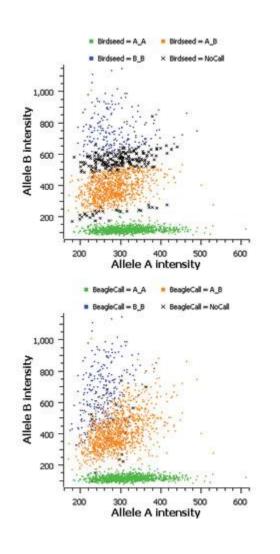
Ger MI FS I, Affymetrix 500k array set, SNPs on chip: 493,840

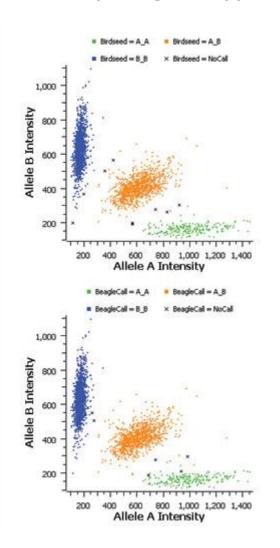
SNPs passing standard quality control: 270,701

#### What is the standard quality control?

- Quality control can be performed on different levels:
  - Subject or sample level
  - Marker level (in this course: SNP level)
  - X-chromosomal SNP level (in this course not considered)
- Consensus on how to best QC data has led to the so-called "Travemünde criteria" (obtained in the town Travemünde) – see later

#### Marker level QC thresholds may be genotype calling algorithm dependent





Allele signal intensity genotype calling cluster plots for two different SNPs from the same study population.

<u>Upper panels</u>: Birdseed genotypes

<u>Lower panels</u>: BEAGLECALL genotypes.

The plots on the left show a SNP with poor resolution of A\_B and B\_B genotype clusters and the increased clarity of genotype calls that comes from using BEAGLECALL

(Golden Helix Blog)

#### Quality control at the marker level

#### Minor allele frequency (MAF):

- Genotype calling algorithms perform poorly for SNPs with low MAF
- Power is low for detecting associations to genetic markers with low
   MAF (with standard large-sample statistics)

# Missing frequency (MiF)

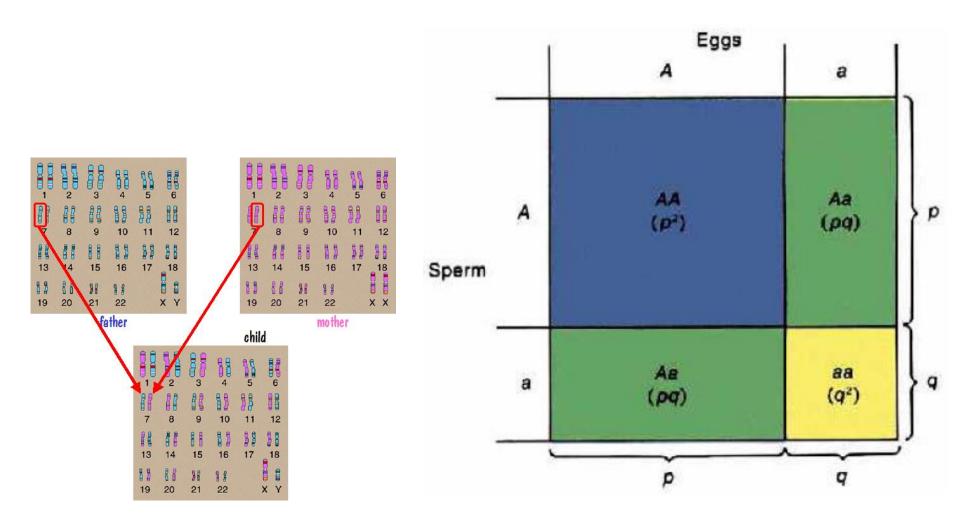
- 1 minus call rate
- MiF needs to be investigated separately in cases and controls because differential missingness may bias association results

# Hardy-Weinberg equilibrium (HWE)

SNPs excluded if substantially more or fewer subjects heterozygous at a
 SNP than expected (excess heterozygosity or heterozygote deficiency)

# What is Hardy-Weinberg Equilibrium (HWE)?

Consider diallelic SNP with alleles A and a



# What is Hardy-Weinberg Equilibrium (HWE)?

Consider diallelic SNP with alleles A<sub>1</sub> and A<sub>2</sub>

Genotype frequencies

$$P(A_1A_1)=p_{11}$$
 ,  $P(A_1A_2)=p_{12}$  ,  $P(A_2A_2)=p_{22}$ 

ullet Allele frequencies  $P(A_1)=p=p_{11}+rac{1}{2}p_{12}$  ,  $P(A_2)={m q}=p_{22}+rac{1}{2}p_{12}$ 

If

$$\bullet P(A_1A_1) = p_{11} = p^2$$

$$\bullet P(A_1A_2) = p_{12} = 2pq$$

$$P(A_2A_2) = p_{22} = q^2$$

the population is said to be in HWE at the SNP

#### Distorting factors to HWE causing evolution to occur

- 1. Non-random mating
- 2. **Mutation** by definition mutations change allele frequencies causing evolution
- 3. **Migration** if new alleles are brought in by immigrants or old alleles are taken out by emigrants then the frequencies of alleles will change causing evolution
- 4. **Genetic drift** random events due to small population size (bottleneck caused by storm and leading to reduced variation, migration events leading to founder effects)
- **5.Natural selection** some genotypes give higher reproductive success (**Darwin**)

# The Travemünde criteria

Level	Filter criterion	Standard value for filter
Sample level	Call fraction	≥ 97%
	Cryptic relatedness	Study specific
	Ethnic origin	Study specific; visual inspection of
		principal components
	Heterozygosity	Mean ± 3 std.dev. over all samples
	Heterozygosity by gender	Mean ± 3 std.dev. within gender group
SNP level	MAF	≥ 1%
	MiF	≤ 2% in any study group, e.g., in both
		cases and controls
	MiF by gender	≤ 2% in any gender
	HWE	$p < 10^{-4}$

(Ziegler 2009)

# The Travemünde criteria

Level	Filter criterion	Standard value for filter
SNP level	Difference between control groups	p > 10 <sup>-4</sup> in trend test
	Gender differences among controls	p > 10 <sup>-4</sup> in trend test
X-Chr SNPs	Missingness by gender	No standards available
	Proportion of male heterozygote calls	No standards available
	Absolute difference in call fractions for	No standards available
	males and females	
	Gender-specific heterozygosity	No standard value available

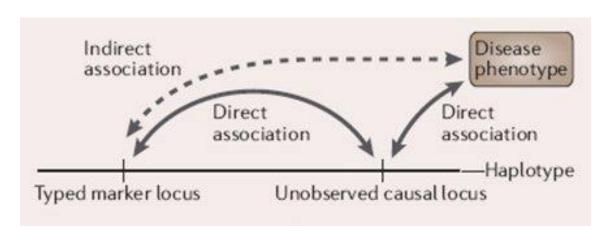
(Ziegler 2009)

# 4.b Linkage disequilibrium

• Linkage Disequilibrium (LD) is a measure of co-segregation of alleles in a population – linkage + allelic association

Two alleles at different loci that occur together on the same chromosome (or gamete) more often than would be predicted by random chance.

• It is a very important concept for GWAs, since it gives the rational for performing genetic association studies



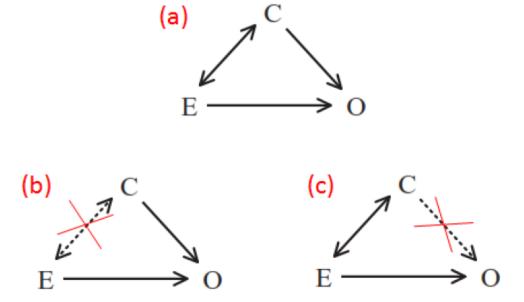
# 4.c Confounding by shared genetic ancestry – "population stratification"

If successful, the random allocation of subjects to the exposure which characterise RCTs ensures a balanced distribution of known and unknown confounding factors between exposed and nonexposed subjects. This is equivalent of removing the association between the exposure and all potential confounders (Figure 1b), and therefore, the possibility of confounding itself. In this case, the effect of the exposure on the outcome can be directly estimated by simply comparing outcomes between exposed and unexposed subjects (1).

Regression uses mathematical modelling to estimate the effect of confounders on the outcome, and to "remove" this effect statistically. This is equivalent of removing (or, more realistically, reducing) the association between confounder and outcome, thus eliminating the second necessary condition for confounding (Figure 1c).

Two necessary — albeit not sufficient — conditions for an extraneous factor ("confounder") to produce such a bias are (Figure 1a):

- the confounder is a risk factor for the outcome:
- the confounder is associated with the exposure, i.e. its distribution is different among individuals with different exposure status.

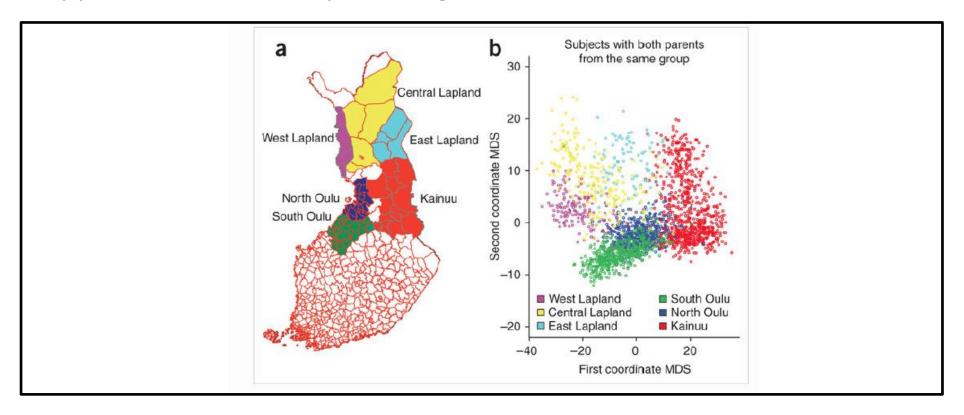


(Cois 2014)

Figure 1: Schematic illustration of confounding control. Arrows represent causal effects, double arrows associations of any nature.  $E = \exp o$  confounder, O = o outcome.

# Confounding by shared genetic ancestry: heterogeneity in populations

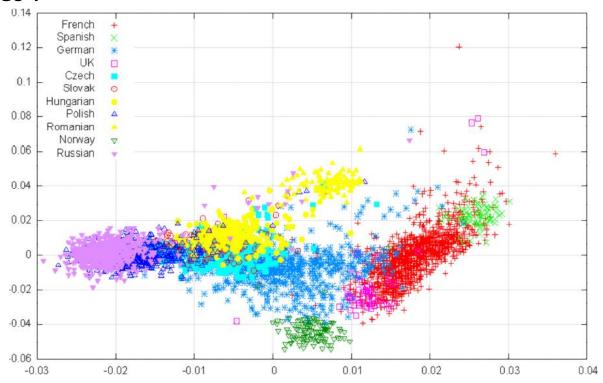
 There can be population structure in all populations, even those that appear to be relatively "homogeneous"



(Sabatti et al. 2009)

# Confounding by shared genetic ancestry: creating a PC space

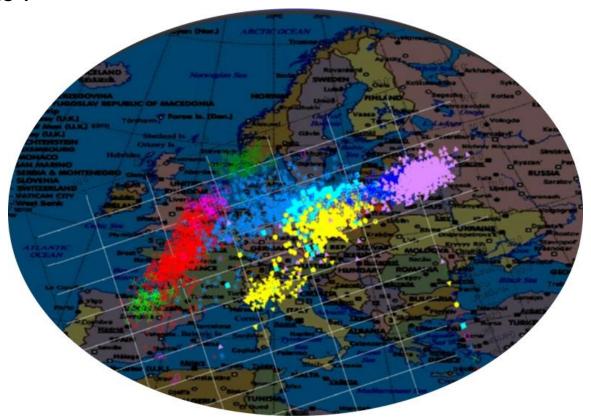
• In European data, the first 2 principal components "nicely" reflect the N-S and E-W axes!



Y-axis: PC2 (6% of variance); X-axis: PC1 (26% of variance)

# Confounding by shared genetic ancestry: creating a PC space

• In European data, the first 2 principal components "nicely" reflect the N-S and E-W axes!



Y-axis: PC2 (6% of variance); X-axis: PC1 (26% of variance)

# The versatile use of PCs in genetic epidemiology

# Statistical Applications in Genetics and Molecular Biology

Volume 3, Issue 1

2004

Article 17

# A Family-Based Association Test for Repeatedly Measured Quantitative Traits Adjusting for Unknown Environmental and/or Polygenic Effects

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# Not everything in life is linear!



Briefings in Bioinformatics, 00(00), 2018, 1-17

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# Principals about principal components in statistical genetics

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#### Abstract

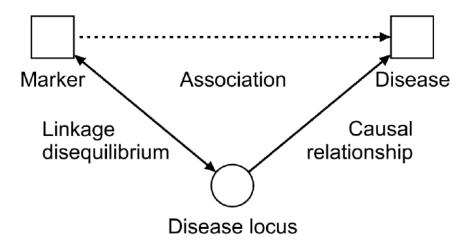
Principal components (PCs) are widely used in statistics and refer to a relatively small number of uncorrelated variables derived from an initial pool of variables, while explaining as much of the total variance as possible. Also in statistical genetics, principal component analysis (PCA) is a popular technique. To achieve optimal results, a thorough understanding about the different implementations of PCA is required and their impact on study results, compared to alternative approaches. In this review, we focus on the possibilities, limitations and role of PCs in ancestry prediction, genome-wide association studies, rare variants analyses, imputation strategies, meta-analysis and epistasis detection. We also describe several variations of classic PCA that deserve increased attention in statistical genetics applications.

Key words: principal component analysis; population stratification; statistical genetics; exploration and prediction

# **5 Analysis Steps**

# **5.a Testing for Genetic Associations (focus on SNPs)**





# The linear regression model

$$y = \beta_0 + \beta_1 x_1 + \ldots + \beta_k x_k + \epsilon$$

- y: response variable.
- $x_1, \ldots, x_k$ : regressor variables, independent variables.
- $\beta_0, \beta_1, \dots, \beta_k$ : regression coefficients.
- $\bullet$   $\epsilon$ : model error.
  - ▶ Uncorrelated:  $cov(\epsilon_i, \epsilon_j) = 0, i \neq j$ .
  - ▶ Mean zero, Same variance:  $var(\epsilon_i) = \sigma^2$ . (homoscedasticity)
  - Normally distributed.

#### Linear vs non-linear

Linear Models Examples:

$$y = \beta_0 + \beta_1 x + \beta_2 x^2 + \epsilon$$

$$y = \beta_0 + \beta_1 x_1 + \beta_2 x_2 + \beta_{12} x_1 x_2 + \epsilon$$

$$y = \beta_0 + \beta_1 \log x_1 + \beta_2 \log x_2 + \epsilon$$

$$\log y = \beta_0 + \beta_1 \left(\frac{1}{x_1}\right) + \beta_2 \left(\frac{1}{x_2}\right) + \epsilon$$

Nonlinear Models Examples:

$$y = \beta_0 + \beta_1 x_1^{\gamma_1} + \beta_2 x_2^{\gamma_2} + \epsilon$$
$$y = \frac{\beta_0}{1 + e^{\beta_1 x_1}} + \epsilon$$

#### **Regression inference**

$$y = \beta_0 + \beta_1 x_1 + \ldots + \beta_k x_k + \epsilon$$

• Least square estimation of the regression coefficients.

$$b = (X^T X)^{-1} X^T y.$$

- Variance estimation for  $\sigma^2$  (see later)
- Coefficient of Determination.  $R^2$ .
- Partial F test or t-test for  $H_0$ :  $\beta_i = 0$ .

#### What is R-squared?

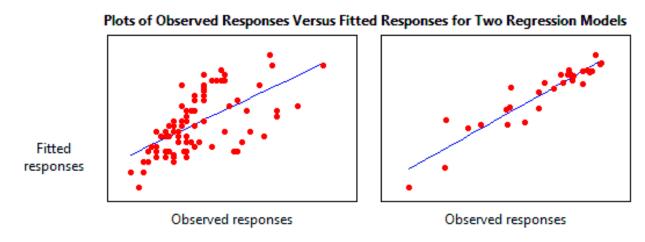
- R-squared is a statistical measure of how close the data are to the fitted regression line. It is also known as the coefficient of determination, or the coefficient of multiple determination for multiple regression.
- The definition of R-squared is fairly straight-forward; it is the percentage of the response variable variation that is explained by a linear model:

R-squared = Explained variation / Total variation (compare with well-known formula for cor(X,Y))

- R-squared is always between 0 and 100%:
  - 0% indicates that the model explains none of the variability of the response data around its mean; 100% indicates that the model explains all the variability of the response data around its mean.

#### **Graphical representation of R-squared**

Plotting fitted values by observed values graphically illustrates different R-squared values for regression models.



• The regression model on the left accounts for 38.0% of the variance while the one on the right accounts for 87.4%. The more variance that is accounted for by the regression model the closer the data points will fall to the fitted regression line.

#### **General linear test approach**

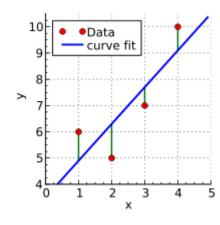
• The full model (continuous response, say "BMI"):

$$Y = \beta_0 + \beta_1 X_1 + \beta_2 X_2 + \varepsilon$$

- Fit the model by f.i. the method of least squares (this leads to estimations b for the beta parameters in the model)
- It will also lead to the **error sums of squares** (SSE): the sum of the squared deviations of each observation Y around its estimated expected value
- The error sums of squares of the full model SSE(F):

$$\sum [Y - b_0 - b_1 X_1 - b_2 X_2]^2$$

$$= \sum (Y - \hat{Y})^2$$



#### **General linear test approach**

Next we consider a null hypothesis H<sub>0</sub> of interest:

$$H_0: \beta_1 = 0$$

$$H_1: \beta_1 \neq 0$$

• The model when H0 holds is called **the reduced or restricted model.** When  $\beta_1 = 0$ , then the regression model reduces to

$$Y = \beta_0 + \beta_2 X_2 + \varepsilon$$

 Again we can fit this model with f.i. the least squares method and obtain an error sums of squares, now for the reduced model: SSE(R)

Which error sums of squares will be smaller? SSE(F) or SSE(R)

#### **General linear test approach**

• The logic now is to compare both SSEs. The actual test statistic is a function of SSE(R)-SSE(F):

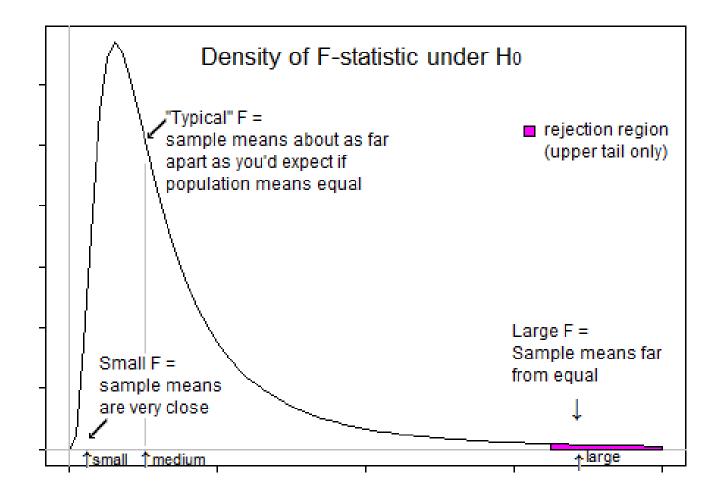
$$F^* = \frac{SSE(R) - SSE(F)}{df_R - df_F} : \frac{SSE(F)}{df_F}$$

which follows an F distribution when H<sub>0</sub> holds

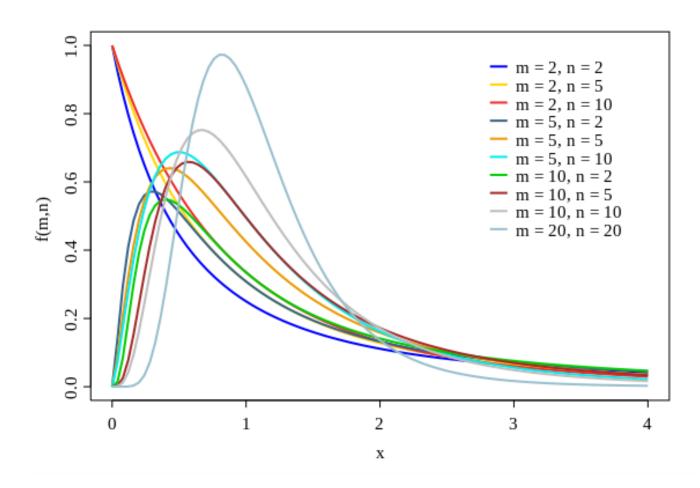
• The decision rule (for a given alpha level of significance) is:

If 
$$F^* \leq F(1-\alpha; df_R - df_F, df_F)$$
, you cannot reject  $H_0$   
If  $F^* > F(1-\alpha; df_R - df_F, df_F)$ , conclude  $H_1$ 

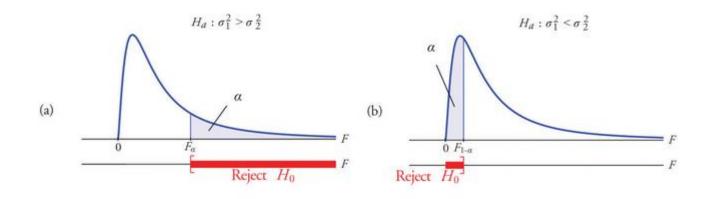
# **Recall: rejection and non-rejection regions**

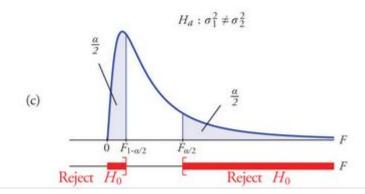


# What are different shapes of an F distribution (parameters m, n)?



# Why F test?





Terminology	Alternative Hypothesis	Rejection Region
Right-tailed	$H_a:\sigma_1^2>\sigma_2^2$	$\boldsymbol{F} \geq F_{\alpha}$
Left-tailed	$H_a:\sigma_1^2<\sigma_2^2$	$\boldsymbol{F} \leq F_{1-\alpha}$
Two-tailed	$H_a:\sigma_1^2 eq\sigma_2^2$	$m{F} \leq F_{1-lpha/2}$ or $m{F} \geq F_{lpha/2}$

# **Special cases of an F distribution**

```
normal distribution = F(1, infinite)
t distribution = F(1, n_2)
chi-square
distribution = F(n_1, infinite)
```

# **Tests in GWAS using the regression framework**

#### • Example 1:

$$Y = \beta_0 + \beta_1 SNP + \varepsilon$$

- $-H_0: \beta_1 = 0$
- $-H_1: \beta_1 \neq 0$
- $-df_F = n 2$  (this links to df in variance estimation)
- $df_R = n 1$  (this links to df in variance estimation)

The variance of a discrete random variable is:

$$\sigma_X^2 = \sum_{All\,x} (x - \mu_X)^2 \, p(x)$$

It can be shown that for testing  $\beta_1=0$  versus  $\beta_1\neq 0$ 

$$-F^* = \frac{SSE(R) - SSE(F)}{df_R - df_F} : \frac{SSE(F)}{df_F} = \frac{b_1^2}{s^2(b_1)} = (t^*)^2$$

# Tests in GWAS using the regression framework

#### • Example 2:

$$Y = \beta_0 + \beta_1 SNP + \beta_2 PC_1 + \beta_3 PC_2 + \varepsilon$$

$$- H_0: \beta_1 = 0$$

$$- H_1: \beta_1 \neq 0$$

$$- df_F = n - 4$$

$$- df_R = n - 3$$

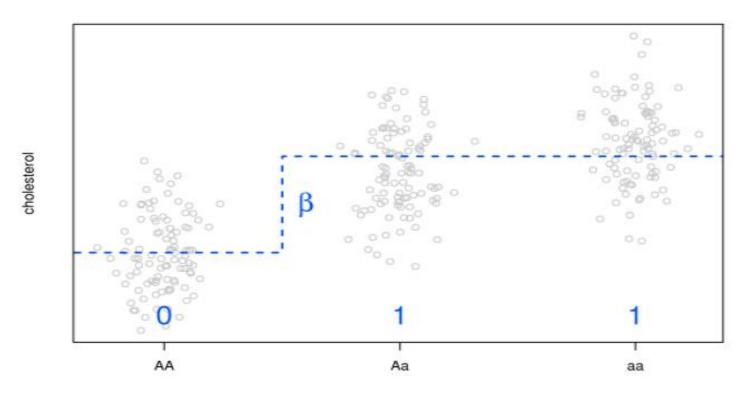
How many dfs would the corresponding F-test have?

How many dfs would a corresponding t(2) test have?

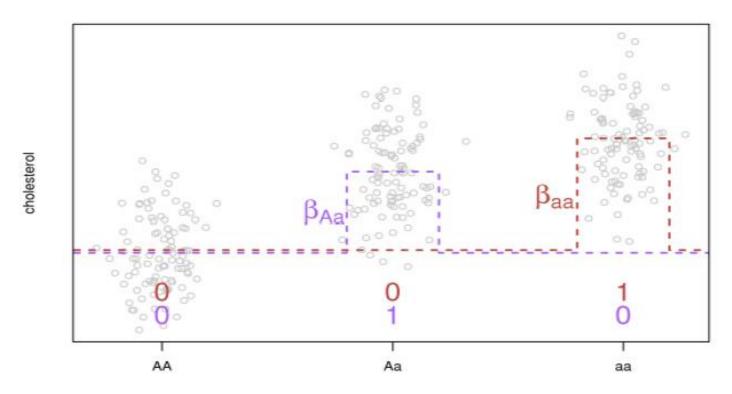
#### The impact of different encoding schemes for SNPs

	Coding scheme for statistical modeling/testing					
Indiv.	X1	X1	X2	X1	X1	X1
genotype						
	<b>Additive</b>	Genotype coding		Dominant	Recessive	Advantage
	coding			coding (for	coding (for	Heterozygous
		(general	l mode	a)	a)	
		of inheritance)				
AA	0	0	0	0	0	0
Aa	1	1	0	1	0	1
aa	2	0	1	1	1	0

#### Which encoding scheme provides a good fit to the data?

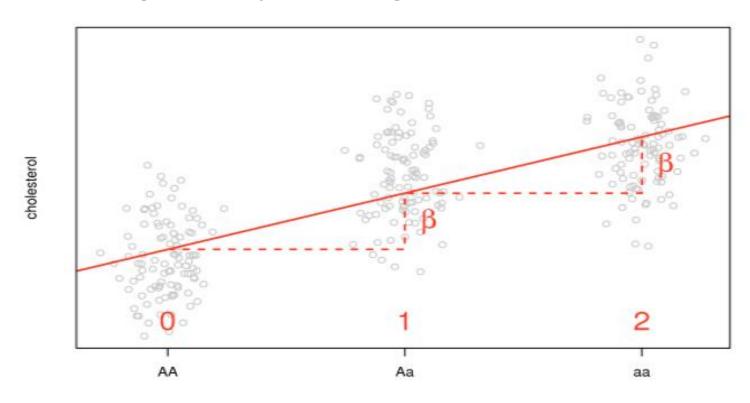


#### Which encoding scheme provides a good fit to the data?



Robust vs overkill?

#### Which encoding scheme provides a good fit to the data?



Most commonly used

### Regression analysis in R

Syntax	Model	Comments
$Y \sim A$	$Y = \beta_0 + \beta_1 A$	Straight-line with an implicit y-
		intercept
$Y \sim -1 + A$	$Y = \beta_1 A$	Straight-line with no y-intercept;
	_	that is, a fit forced through (0,0)
$Y \sim A + I(A^2)$	$Y = \beta_0 + \beta_1 A + \beta_2 A^2$	Polynomial model; note that the
		identity function I() allows terms
		in the model to include normal
		mathematical symbols.
$Y \sim A + B$	$Y = \beta_0 + \beta_1 A + \beta_2 B$	A first-order model in A and B
		without interaction terms.
Y ~ A:B	$Y = \beta_0 + \beta_1 AB$	A model containing only first-order
		interactions between A and B.
$Y \sim A*B$	$Y = \beta_0 + \beta_1 A + \beta_2 B + \beta_3 AB$	A full first-order model with a term;
		an equivalent code is $Y \sim A + B +$
		A:B.
$Y \sim (A + B + C)^2$	$Y = \beta_0 + \beta_1 A + \beta_2 B + \beta_3 C +$	A model including all first-order
	$\beta_4 AB + \beta_5 AC + \beta_6 AC$	effects and interactions up to the nth
	14- 13 10	order, where n is given by ()^n.
		An equivalent code in this case is
		$Y \sim A*B*C - A:B:C.$

#### **Special cases of an F distribution**

```
normal distribution = F(1, infinite)
t distribution = F(1, n_2)
chi-square
distribution = F(n_1, infinite)
```

#### **Model diagnostics**

- There are 4 principal assumptions which justify the use of **linear regression** models for purposes of prediction:
  - **linearity** of the relationship between dependent and independent variables
  - independence of the errors (no serial correlation)
  - homoscedasticity (constant variance) of the errors
    - versus time (when time matters)
    - versus the predictions (or versus any independent variable)
    - normality of the error distribution. (http://www.duke.edu/~rnau/testing.htm)
- To check **model assumptions**: go to **quick-R** and regression diagnostics (<a href="http://www.statmethods.net/stats/rdiagnostics.html">http://www.statmethods.net/stats/rdiagnostics.html</a>)

#### QQ plots for model diagnostics – Q for Quantile

 Quantiles are points in your data below which a certain proportion of your data fall.

What is the 0.5 quantile for normally distributed data?

• Here we generate a random sample of size 200 from a normal distribution and find the quantiles for 0.01 to 0.99 using the quantile function:

quantile(rnorm(200), probs = seq(0.01, 0.99, 0.01))

 Q-Q plots take your sample data, sort it in ascending order, and then plot them versus quantiles calculated from a theoretical distribution.

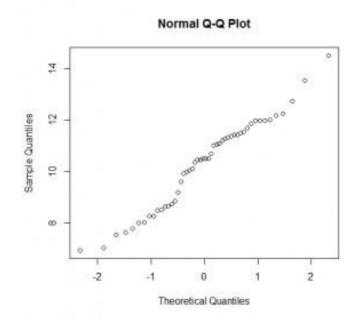
The number of quantiles is selected to match the size of your sample data.

The quantile function in R offers 9 different quantile algorithms!

See help(quantile)

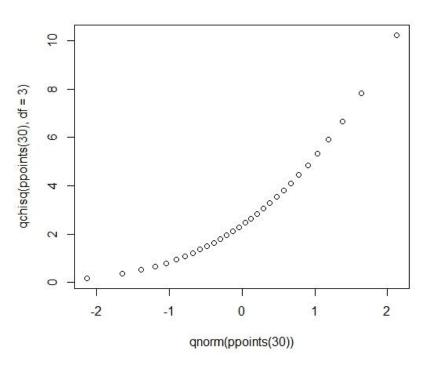
#### QQ plots for model diagnostics – Q for Quantile

- A Q-Q plot is a scatterplot created by plotting **two sets of quantiles** against one another.
- If both sets of quantiles come from the same distribution, we should see the points forming a line that's roughly straight.
- Here's an example of a Normal Q-Q plot when both sets of quantiles truly come from Normal distributions.

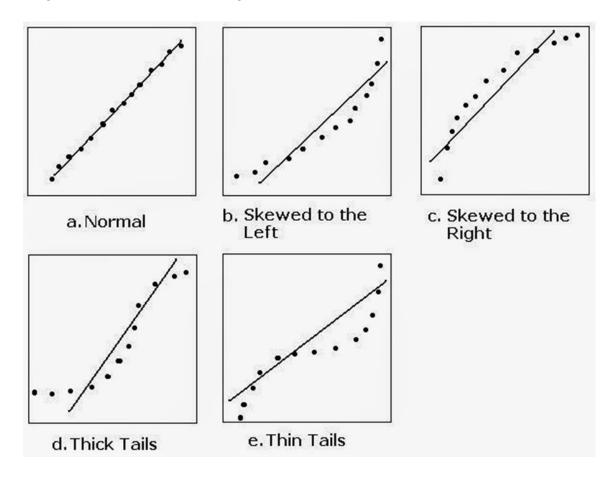


#### **Examples of QQ plots: no straight line**

 QQ plot of a distribution that's skewed right; a Chi-square distribution with 3 degrees of freedom against a Normal distribution qqplot(qnorm(ppoints(30)), qchisq(ppoints(30),df=3))



#### **Examples of QQ plots: some frequent scenarios**



What if my Y is binary? Testing for association between case/control status and a SNP

 Fill in the table below and perform a chi-squared test for independence between rows and columns → genotype test → 2 df

	AA	Aa	aa
Cases			
Controls			

Sum of entries = cases+controls

Fill in the table below and perform a chi-squared test for independence
 between rows and columns → allelic test (ONLY valid under HWE) → 1df

	Α	а		
Cases				
Controls			Sum of entries is	]
			2 x (cases + controls)	
			2 x (cases i controls )	

#### Toy example of chi-square test of independence

	Blue	Green	Pink	
Boys	100(72)	150(108)	20(120)	300
Girls	20(48)	30(72)	180(80)	200
	120	180	200	N = 500

$$\chi^2 = \frac{\sum \frac{(f_0 - f_e)^2}{f_e}}{\chi^2} = \frac{(100 - 72)^2}{72} + \frac{(20 - 48)^2}{48} + \frac{(150 - 108)^2}{108} + \frac{(30 - 72)^2}{72} + \frac{(20 - 120)^2}{120} + \frac{(180 - 80)^2}{80}$$

What is the df?

#### The flexible regression framework

Instead of

$$Y = \beta_0 + \beta_1 SNP + \varepsilon$$
; Y continuous and modelling

$$E[Y|SNP] = \beta_0 + \beta_1 SNP$$
 (without error term!) consider

 $\beta_0 + \beta_1 SNP = \eta$  representing the linear combination as it can never be equal to a binary variable (0/1 response; control/case status)

and model

$$g(E[Y|SNP]) = \beta_0 + \beta_1 SNP = \eta$$

where g() is called a link function between response and linear predictor

and thus

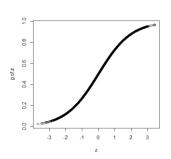
$$E[Y|SNP] = g_{inv}(\eta)$$

#### For a binary trait Y:

$$E[Y|SNP] = Prob(Y = 1|SNP)$$

$$= \frac{\exp(\boldsymbol{\eta})}{(1 + \exp(\boldsymbol{\eta}))} = \frac{1}{(1 + \exp(-\boldsymbol{\eta}))} = \boldsymbol{g_iinv}(\boldsymbol{\eta})$$
where

g\_inv is the **logistic function (sigmoid function)** (squashing the linear predictor to an acceptable range)



$$Prob(Y = 1|SNP) = \frac{\exp(\eta)}{(1 + \exp(\eta))}$$
  
we have

$$\frac{Prob(Y = 1|SNP)}{1 - Prob(Y = 1|SNP)} = \exp(\eta)$$

and thus

$$g(E[Y|SNP]) = \beta_0 + \beta_1 SNP = \log\left(\frac{Prob(Y = 1|SNP)}{1 - Prob(Y = 1|SNP)}\right) = \eta$$

g is called the logit function

Which encoding scheme? Same as before; independent from trait type but dependent on the nature of the marker

 Analyses based on phased haplotype data rather than unphased genotypes may be quite powerful...

Test 1 vs. 2 for M1: D + d vs. dTest 1 vs. 2 for M2: D + d vs. d

Test haplotype H1 vs. all others: D vs. d

• If the **Disease Susceptibility Locus** (DSL) is located at a marker, haplotype testing can be *less powerful* 

#### 5.b Causation

#### "Association does not imply causation"

#### Meaning:

In all observational epidemiologic studies, findings of an association between a substance or exposure and a health effect do not necessarily imply causation.

For example, a study might show that the habit of carrying matches is associated with an increased likelihood of later developing lung cancer.

#### "Correlation (as a measure of association) is not causation"

#### Meaning:

Just because two things correlate does not necessarily mean that one causes the other.

As a seasonal example, just because people in Belgium tend to spend more in the shops when it's cold and less when it's hot doesn't mean cold weather causes high street spending.

#### Establishing causation: causal variants for human complex traits

- Wet lab efforts
  - Gene knock-out experiments
    - The findings of animal experiments may not be directly applicable to the human situation because of genetic, anatomic, and physiologic differences
- Dry lab efforts
  - As opposed to association studies that benefit from LD, the main challenge in identifying causal variants at associated loci analytically lies in distinguishing among the many closely correlated variants due to LD.

#### **Genome-wide Causation Studies of Complex Diseases**

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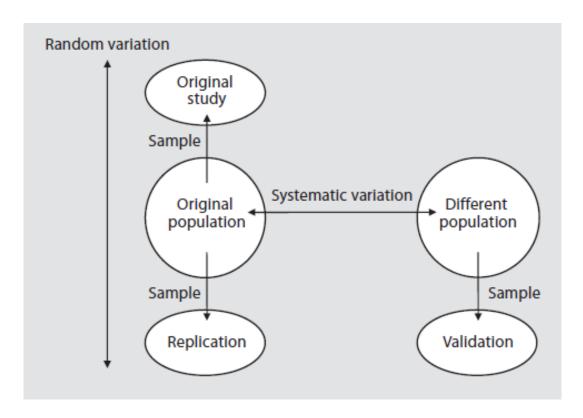
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**Key words:** Causal inference, GWAS, GWCS, additive noise models, linkage disequilibrium, prediction

#### **6 Post Association Analysis Steps**

#### 6.a Replication and Validation

#### The difference



(Igl et al. 2009)

#### **Guidelines for replication studies**

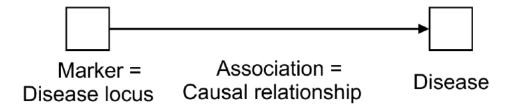
- Replication studies should be of sufficient size to demonstrate the effect
- Replication studies should conducted in independent datasets
- Replication should involve the same phenotype
- Replication should be conducted in a similar population
- The same SNP should be tested
- The replicated signal should be in the same direction
- Joint analysis should lead to a lower *p*-value than the original report
- Well-designed negative studies are valuable

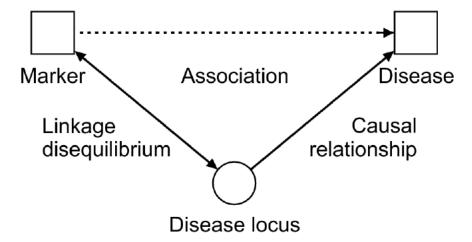
Note that SNPs are most likely to replicate when they

- show modest to strong statistical significance,
- have common minor allele frequency,
- exhibit modest to strong genetic effect size (~strength of association)

#### 6.b GWA Interpretation and follow-up

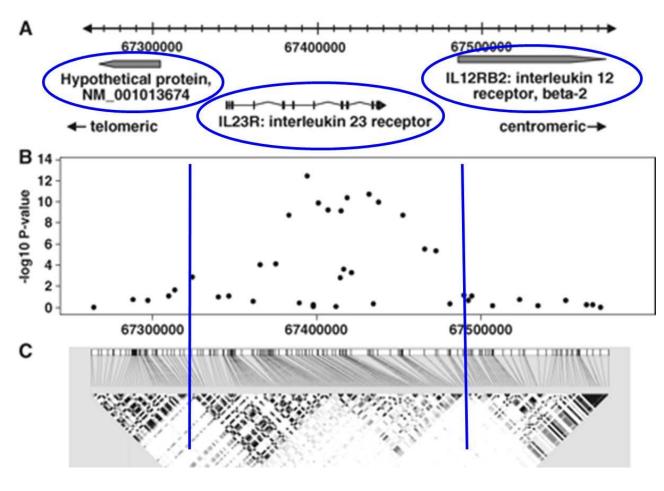
#### **Entering the field of functional genomics**





(Ziegler and Van Steen, Brazil 2010)

#### Finding the "relevant" loci – naïve approach



(Duerr et al 2006)

#### Some criteria to assess the functional relevance of a variant

Criteria	Strong support for functional significance	Moderate support for functional eignificance	Evidence against functional significance
Nucleotide sequence	Variant disrupts a known functional or structural motif	Variant is a missense change or disrupts a putative functional motif; changes to protein structure might occur	Variant disrupts a non-coding region with no known functional or structural motif
Evolutionary conservation	Consistent evidence from multiple approaches for conservation across species and multigene families	Evidence for conservation across species or multigene families	Nucleotide cr amino-acid residue rot conserved
Population genetics	In the absence of laboratory error, strong deviations from expected population frequencies in cases and/or controls in a particular ethnicity	In the absence of laboratory error, moderate to small deviations from expected population frequencies in cases and/or controls; effects are not well characterized by ethnicity	Population genetics data indicates ro deviations from expected proportions
Experimental evidence	Consistent effects from multiple lines of experimental evidence; effect in human context is established; effect in target tissue is known	Some ipossibly inconsistent) evidence for function from experimental data; effect in human context or target tissue is unclear	Experimental evidence consistently indicates no functional effect
Exposures (fcr example, genotype—environment interaction studies)	Variant is known to affect the metabolism of the exposure in the relevant target tissue	Variant might affect metabolism of the exposure or one of its components; effect in target tissue might not be known	Variant does not affect metabolism of exposure of interest
Epidemiological evidence	Consistent and reproducible reports of moderate-to-large magnitude associations	Peports of association exist; replication studies are not available	Prior studies show no effect of variant

(Rebbeck et al 2004)

#### Finding the "relevant" loci - via "functional genomics"



**DEPICT** 



Documentation

Citation

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# "DEPICT" your association study

DEPICT is an integrative tool that based on predicted gene functions systematically prioritizes the most likely causal genes at associated loci, highlights enriched pathways, and identifies tissues/cell types where genes from associated loci are highly expressed

Download DEPICT (2.9 GB) today

(https://data.broadinstitute.org/mpg/depict/)

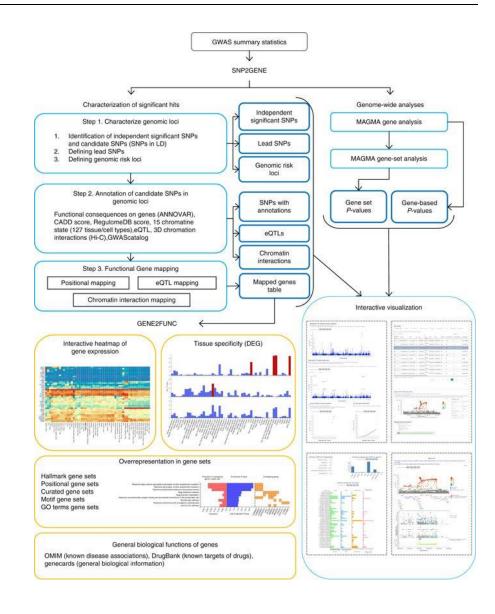
# Finding the "relevant" loci - via "functional genomics"

FUMA on GWAS summary statistics.

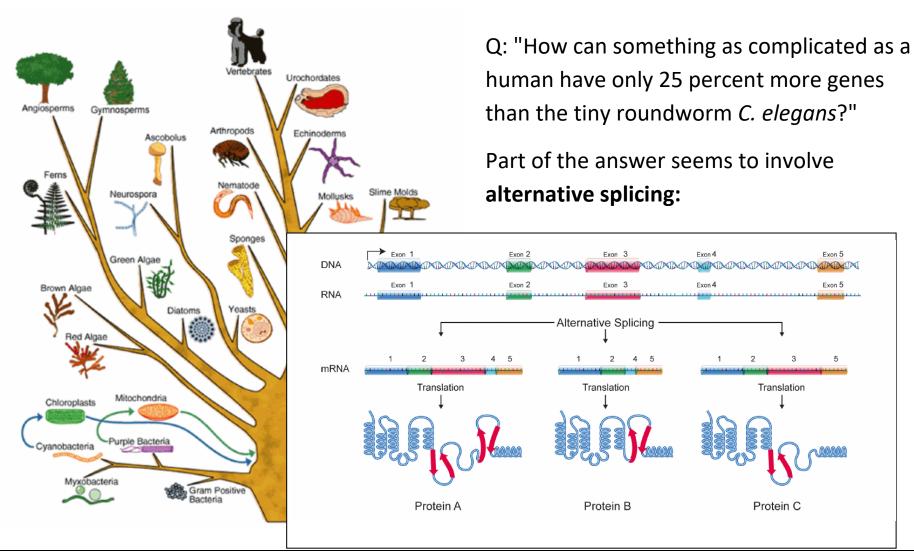
SNP2GENE prioritizes functional SNPs and genes, outputs tables (blue boxes), and creates Manhattan, quantile—quantile (QQ) and interactive regional plots (box at right bottom).

GENE2FUNC provides four outputs; a gene expression heatmap, enrichment of differentially expressed gene (DEG) sets in a certain tissue compared to all other tissue types, overrepresentation of gene sets, and links to external biological information of input genes.

(https://fuma.ctglab.nl/)



#### Natural to look at gene expression (in its full complexity!)



#### From SNPs to genes as units of (follow-up pathway) analysis

- Pathway analysis allows the interpretation of variants with respect to the biological processes in which the affected genes and proteins are involved.
- Examining the **cumulative effects** of numerous variants and visualizing them at the pathway level, can empower detection of genetic risk factors for complex diseases.
- Visualizing tools can largely aid in making sense of GWAS data!

#### **Next plot:**

Highlighted green are the tools in which the specific feature described is present, red highlights indicate features that are either not present or partially present in the tools reviewed.

(Cirillo et al 2017)

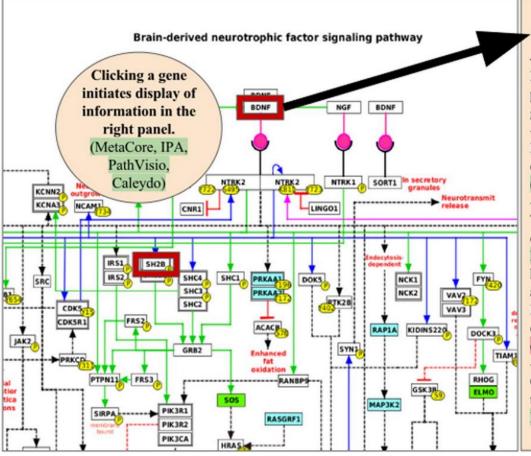
#### A Pathways list

#### B Pathways diagram

#### C Other information and hyperlinks

-Pathways would be listed based on output of a specific GWAS pathway-based algorithm. (None)

- Pathway names are to be ranked according p-values and/or FDR, etc. (MetaCore, IPA and PathVisio)
- -Pathways names upon click will appear in the central panel. (MetaCore and Caleydo)



Specific information is shown related to the selected gene:

- Pathways

Pathways list of pathways (left panel) that contain the selected gene. (Caleydo)

-Gene

Hyperlinks to databases that contain gene information.

(MetaCore, IPA PathVisio)

-SNP

The list of uploaded SNPs is displayed. (MetaCore, IPA PathVisio) SNPs IDs are hyperlinked to databases with added information: description, LD plot, GxE interaction,

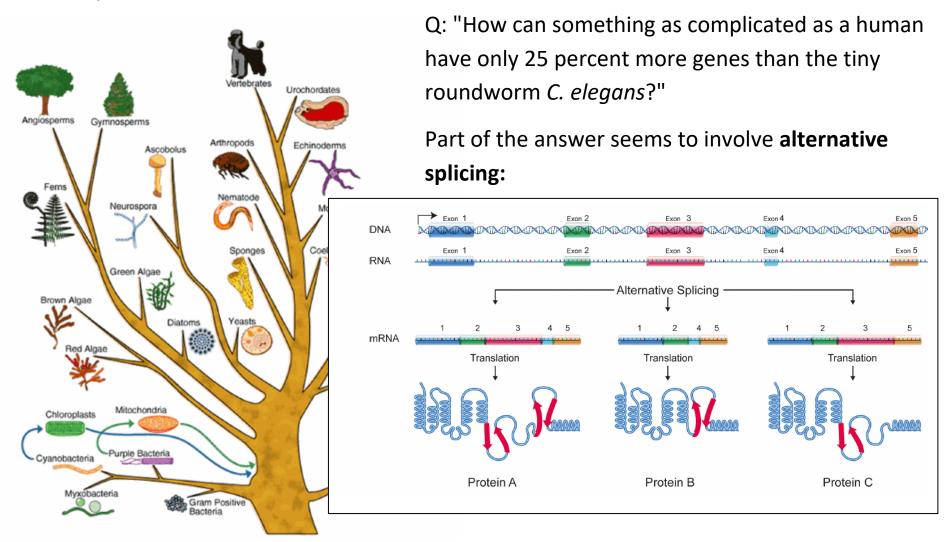
eQTLs, etc. (Pathvisio and Path cover some of these features)

-Other data

Other uploaded data related to the gene are shown. (MetaCore, IPA PathVisio)

(Cirillo et al 2017)

#### Gene expression as an extra source of information



## **Questions?**

#### Main supporting doc to this class (complementing course slides)



OPEN & ACCESS Freely available online



#### **Education**

#### **Chapter 11: Genome-Wide Association Studies**

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# A tutorial on statistical methods for population association studies

David J. Balding

Abstract | Although genetic association studies have been with us for many years, even for the simplest analyses there is little consensus on the most appropriate statistical procedures. Here I give an overview of statistical approaches to population association studies, including preliminary analyses (Hardy–Weinberg equilibrium testing, inference of phase and missing data, and SNP tagging), and single-SNP and multipoint tests for association. My goal is to outline the key methods with a brief discussion of problems (population structure and multiple testing), avenues for solutions and some ongoing developments.

Nature reviews Genetics 2006; 5:63-70 – for those interested in technical (statistical) details

### Homework assignment I

+

Check out the document

"Critical evaluation of a paper/report"